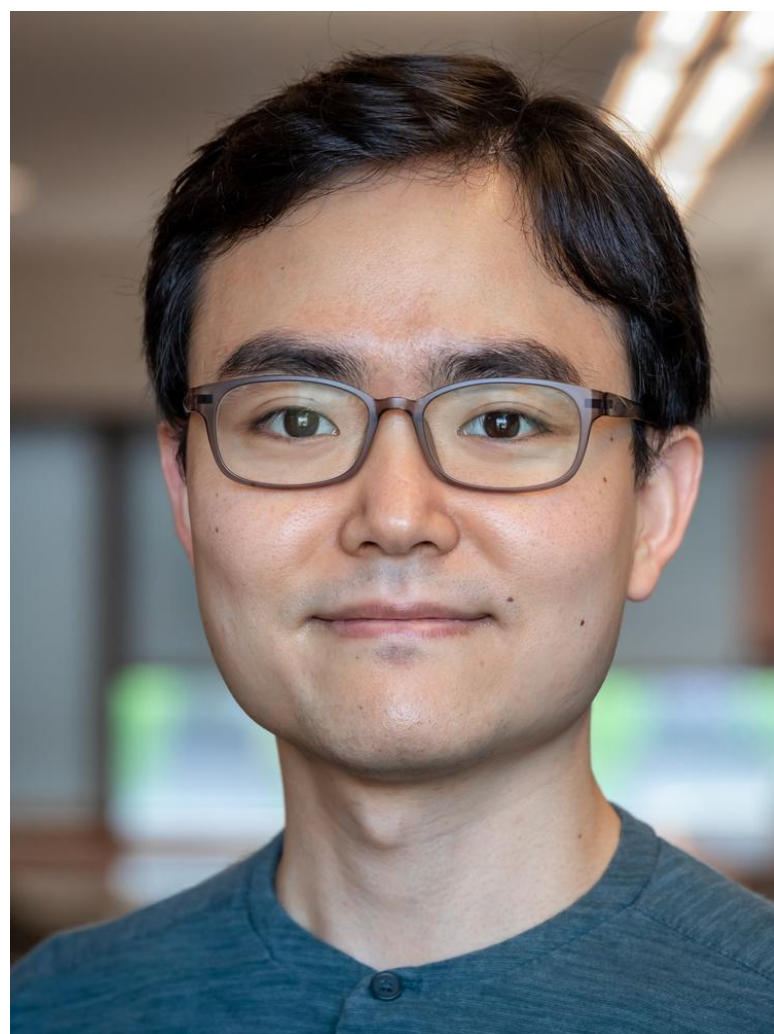


Bootstrapping the Ising Model on the Lattice

Victor A. Rodriguez
Princeton University

Positivity @ PCTS

based on [2206.12538 \[hep-th\]](#) w/ Minjae Cho, Barak Gabai, Ying-Hsuan Lin, Joshua Sandor, Xi Yin



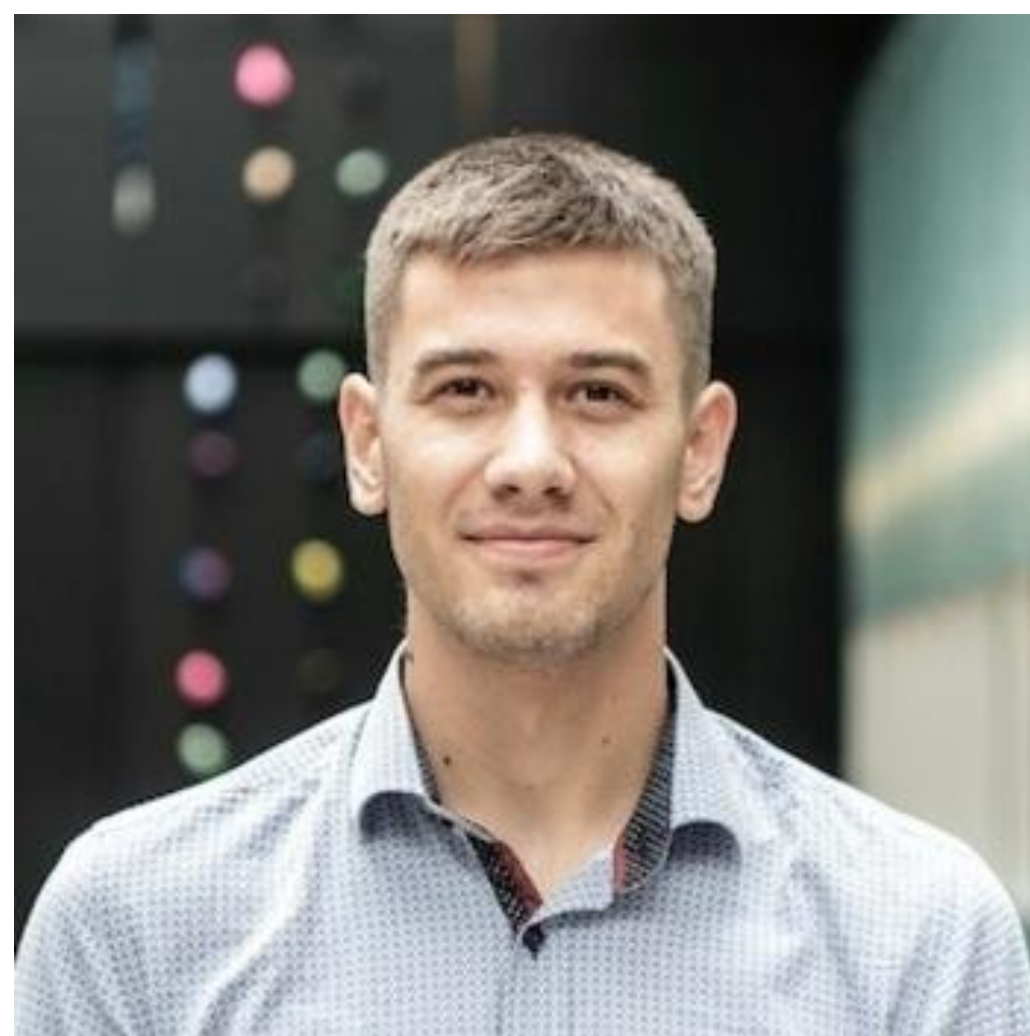
Minjae Cho



Barak Gabai



Ying-Hsuan Lin



Joshua Sandor



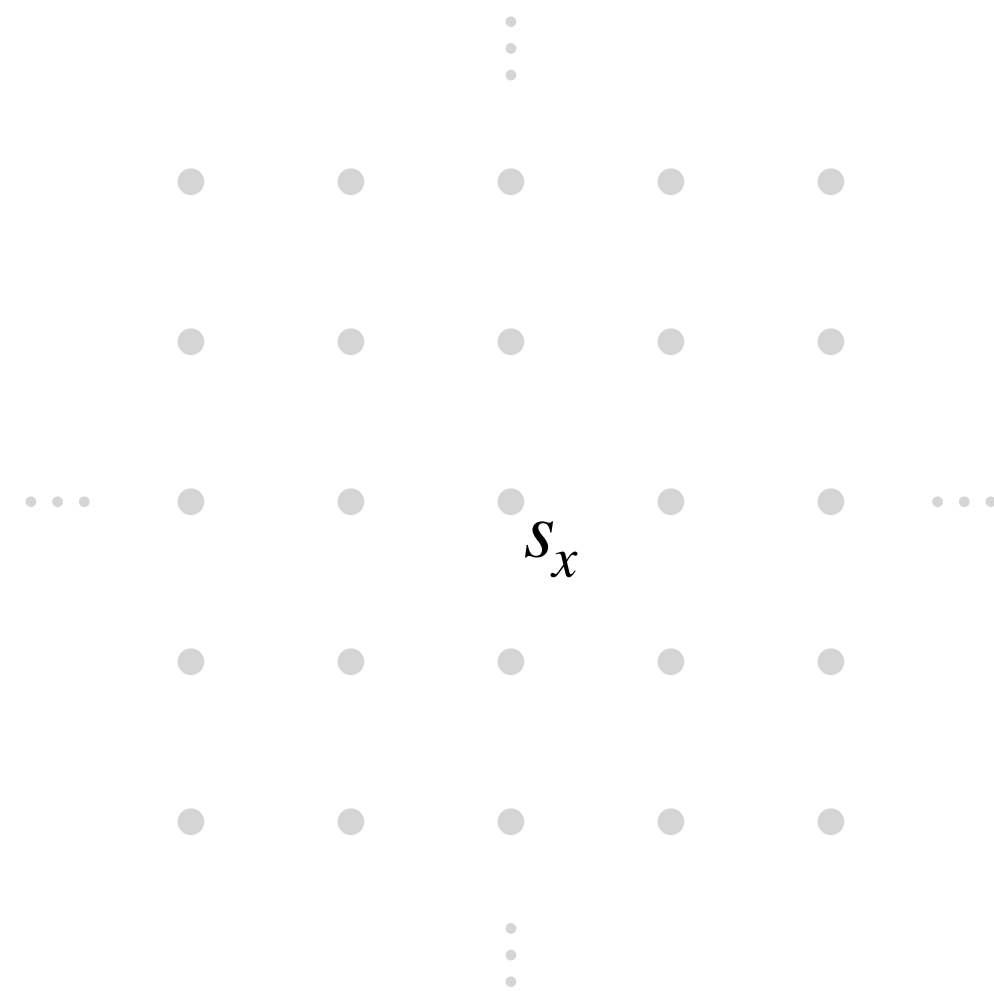
Xi Yin

Outline

- Ising model review
- Bootstrap of the Ising model
 1. **Relation**: “spin-flip” equations
 2. **Positivity**: reflection positivity, Griffiths inequalities, etc.
- Results in 2D and 3D Ising model
- Prospects

Ising Model

Physical system for intuition: magnets



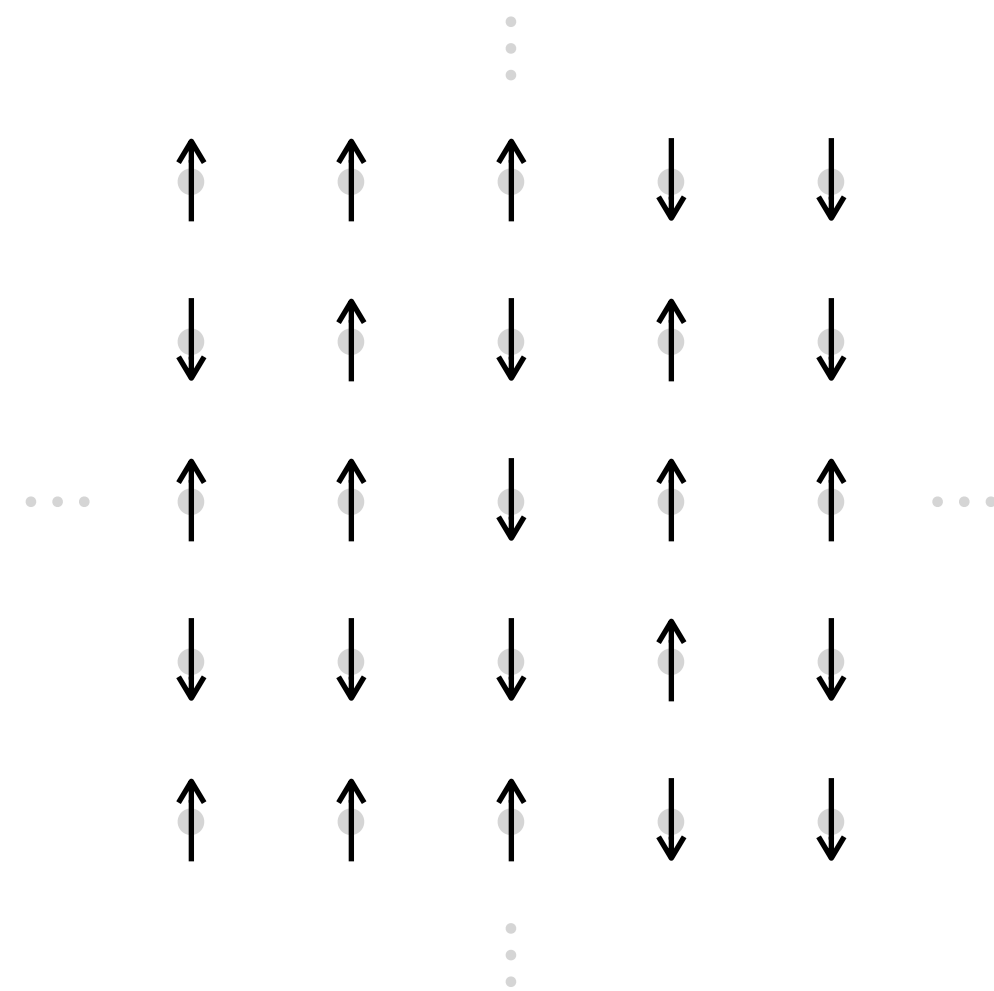
At each lattice site $x \in \Lambda$, the variable s_x (called “spin”) can take either of two values

$$s_x = \begin{cases} 1 & \text{"spin up"} & \uparrow \\ -1 & \text{"spin down"} & \downarrow \end{cases}$$

electrons, tiny magnets

Ising Model

Physical system for intuition: magnets



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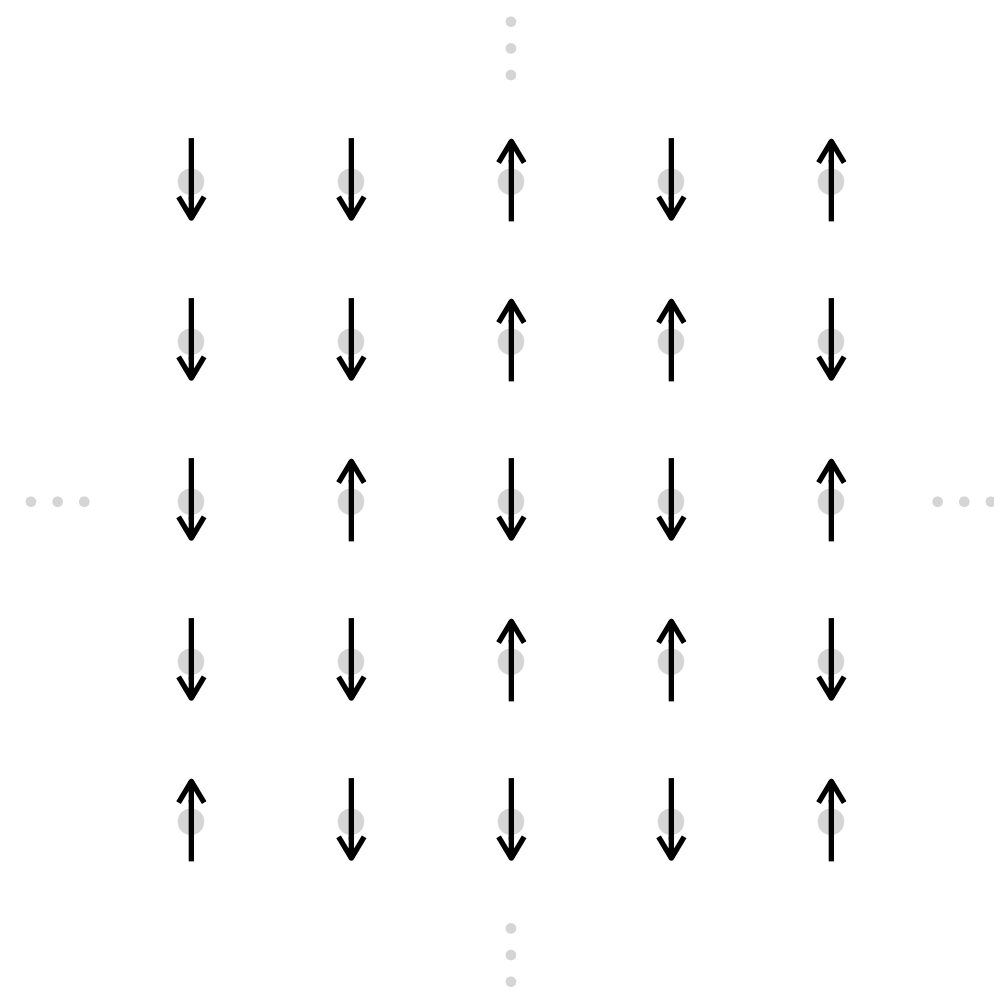
$$s_x = \begin{cases} 1 & \text{"spin up"} & \uparrow \\ -1 & \text{"spin down"} & \downarrow \end{cases}$$

electrons, tiny magnets

A spin configuration of the lattice system is a particular assignment of a spin value for each site.

Ising Model

Physical system for intuition: magnets



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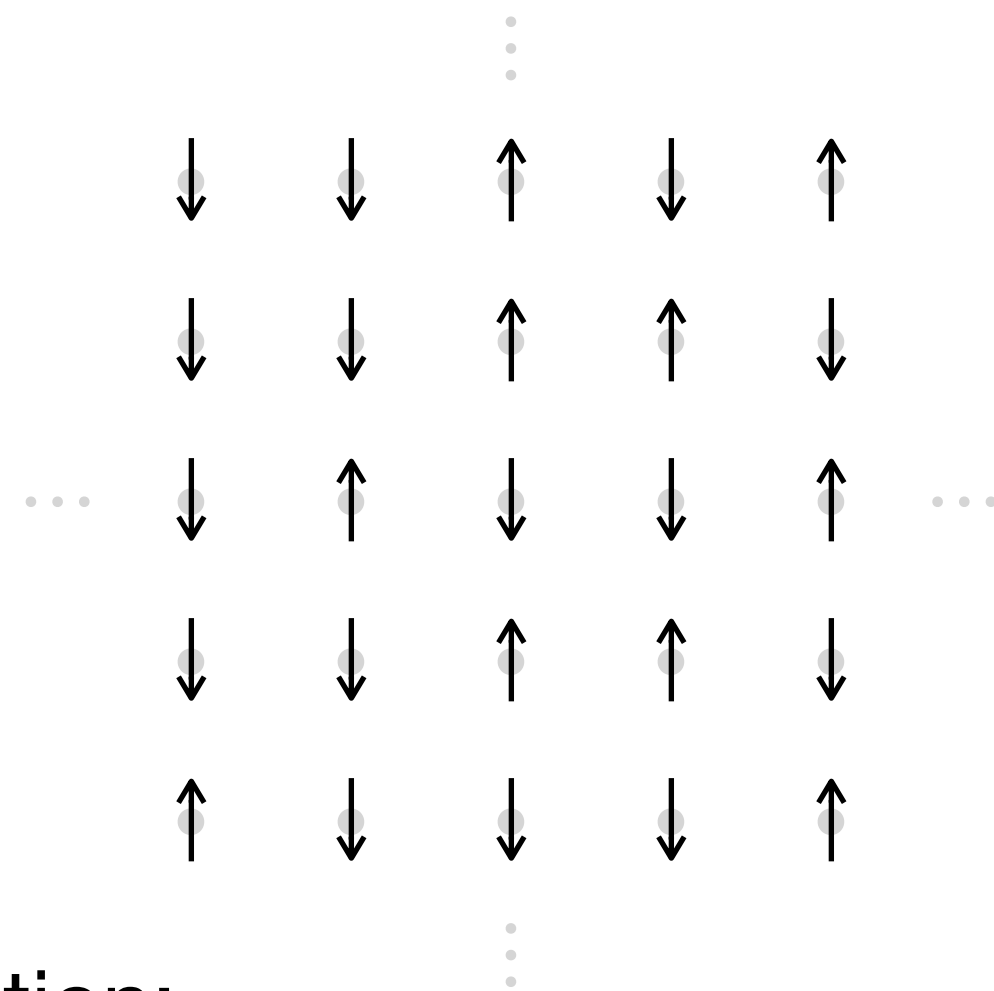
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electrons, tiny magnets

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Ising Model

Physical system for intuition: magnets



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$$s_x = \begin{cases} 1 & \text{"spin up"} & \uparrow \\ -1 & \text{"spin down"} & \downarrow \end{cases}$$

Partition function:

$$Z = \sum_{\substack{\text{spin} \\ \text{configs}}} \exp \left[-\frac{1}{T} E \left(\begin{smallmatrix} \text{spin} \\ \text{config} \end{smallmatrix} \right) \right]$$

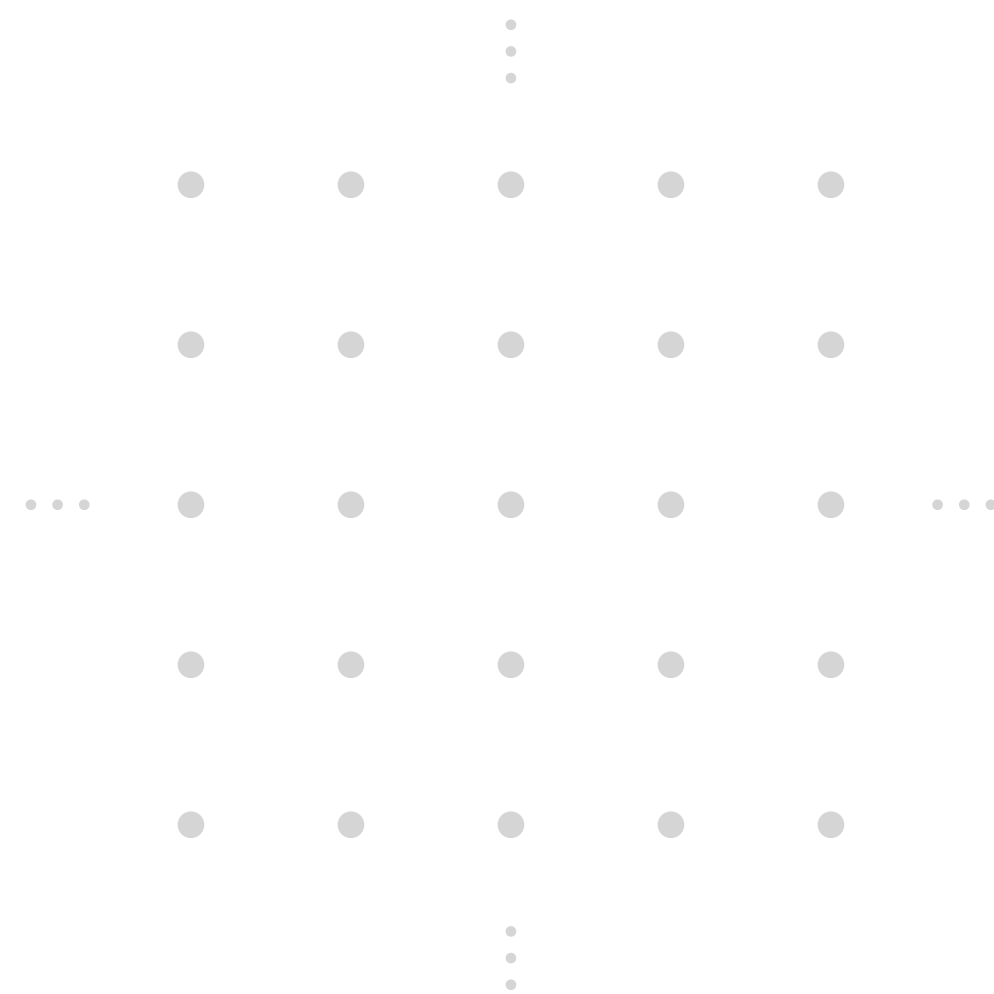
where the exponential is interpreted as the probability that the system is in that specific spin config

electrons, tiny magnets

A spin configuration of the lattice system is a particular assignment of a spin value for each site.

Ising Model

Physical system for intuition: magnets



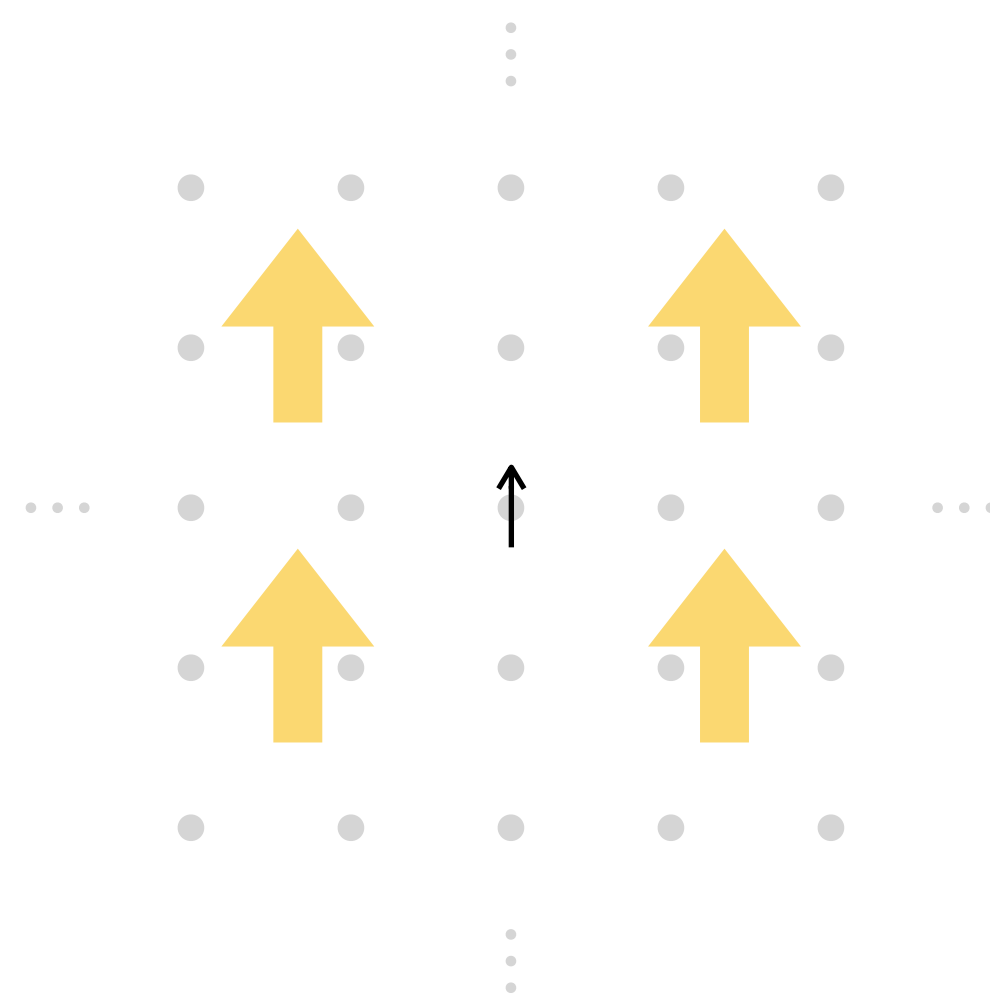
For the Ising model,

$$E(\{s_x\}) = -J \sum_{\langle xy \rangle} s_x s_y - h \sum_{x \in \Lambda} s_x$$

where $\langle xy \rangle$ means $x, y \in \Lambda$ such that they are directly adjacent sites

Ising Model

Physical system for intuition: magnets



For the Ising model,

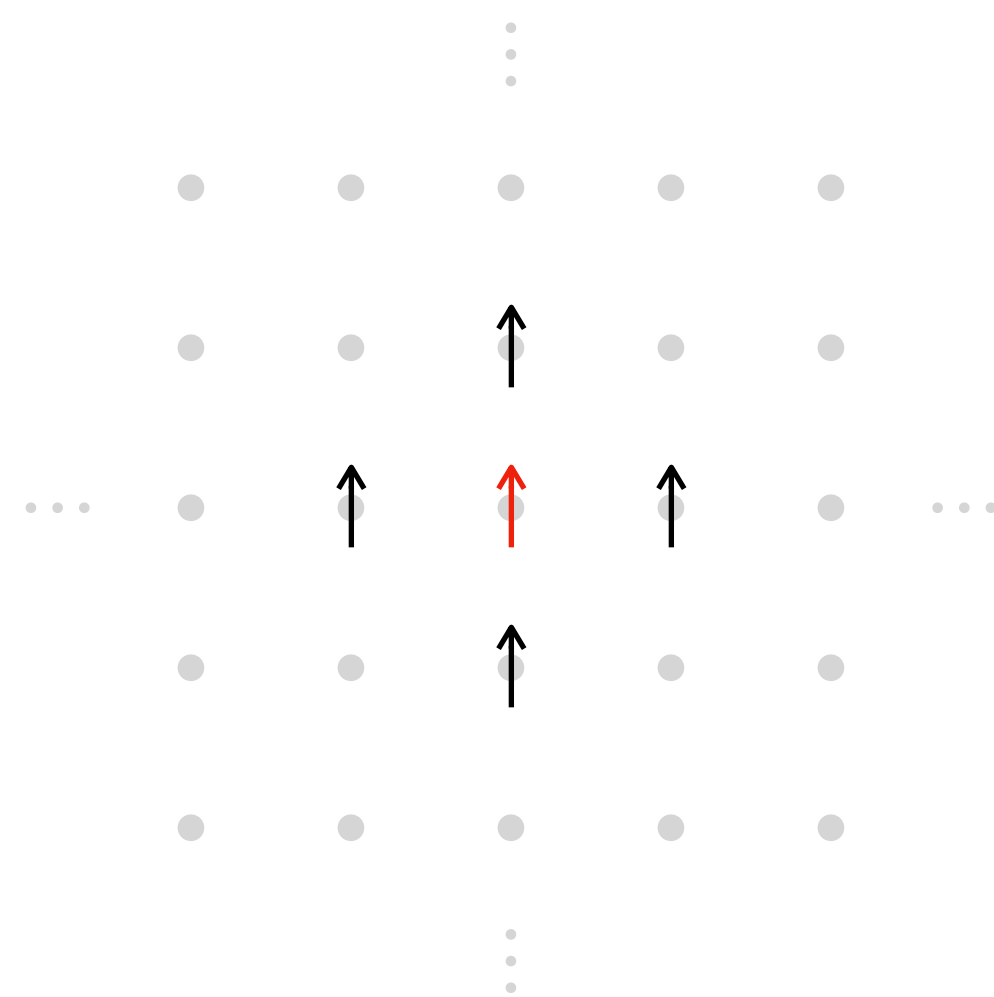
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- 2nd term — external magnetic field h :
spins want to point in the same direction as the external magnetic field
(energetically favorable to do so)

Ising Model

Physical system for intuition: magnets



For the Ising model,

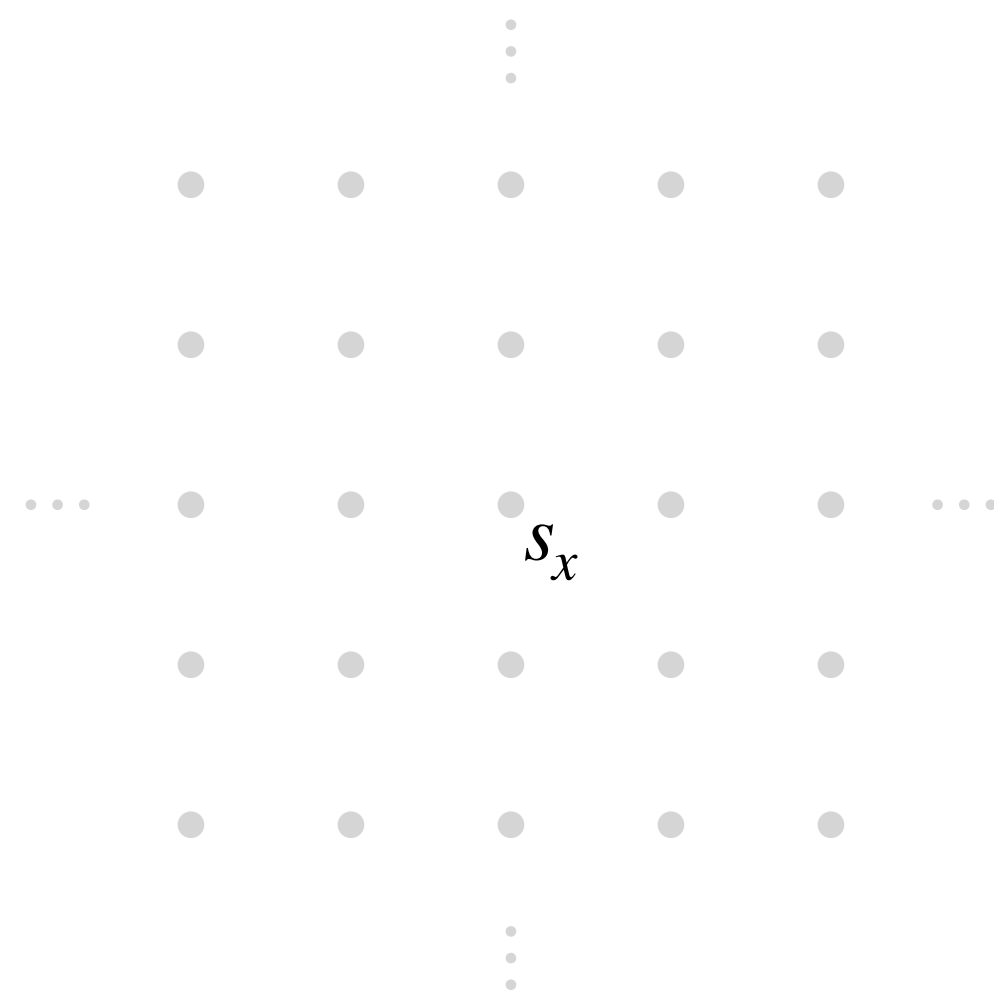
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- 2nd term — external magnetic field h :
spins want to point in the same direction as the external magnetic field (energetically favorable to do so)
- 1st term — nearest-neighbor interactions only:
it's energetically favorable for a spin to point along the same direction as its neighbor. J is the strength of this interaction.
 $J > 0$ ferromagnetic; $J < 0$ anti-ferromagnetic

Ising Model

Physical system for intuition: magnets



Ising:

$$Z = \sum_{\substack{s_x = \pm 1 \\ x \in \Lambda}} e^{J \sum_{\langle xy \rangle} s_x s_y + h \sum_x s_x}$$

(temperature T has been absorbed into J and h)

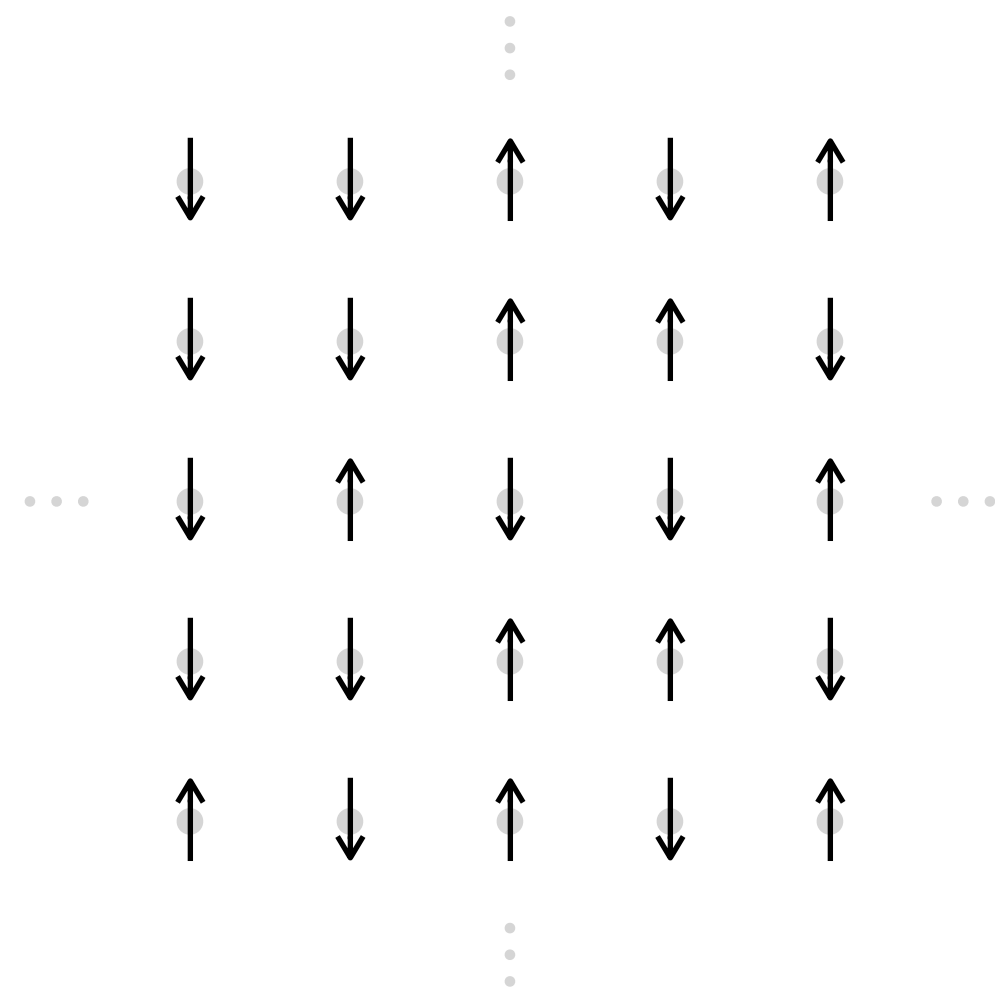
For a function $f(\{s_x\})$ of the spins,

$$\langle f(\{s_x\}) \rangle = \frac{1}{Z} \sum_{\substack{s_x = \pm 1 \\ x \in \Lambda}} f(\{s_x\}) e^{J \sum_{\langle xy \rangle} s_x s_y + h \sum_x s_x},$$

denotes the average value of f .

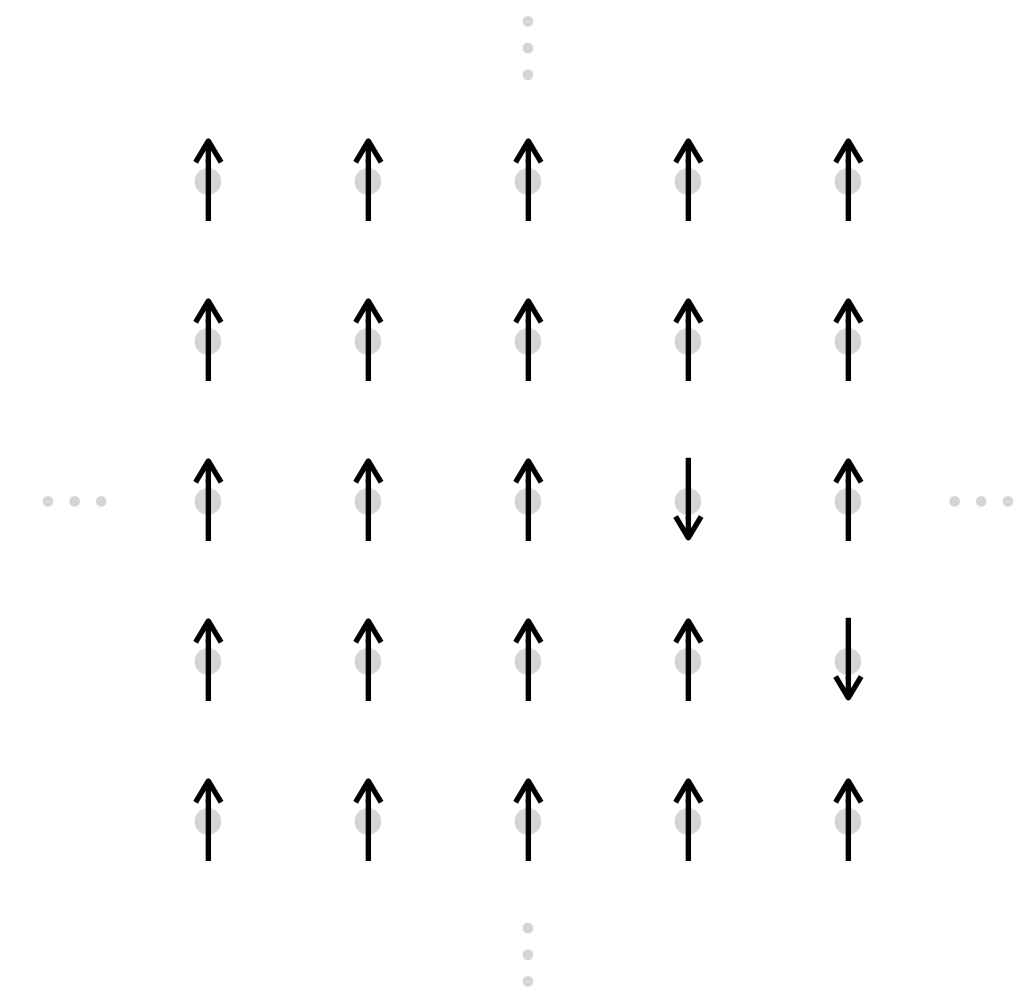
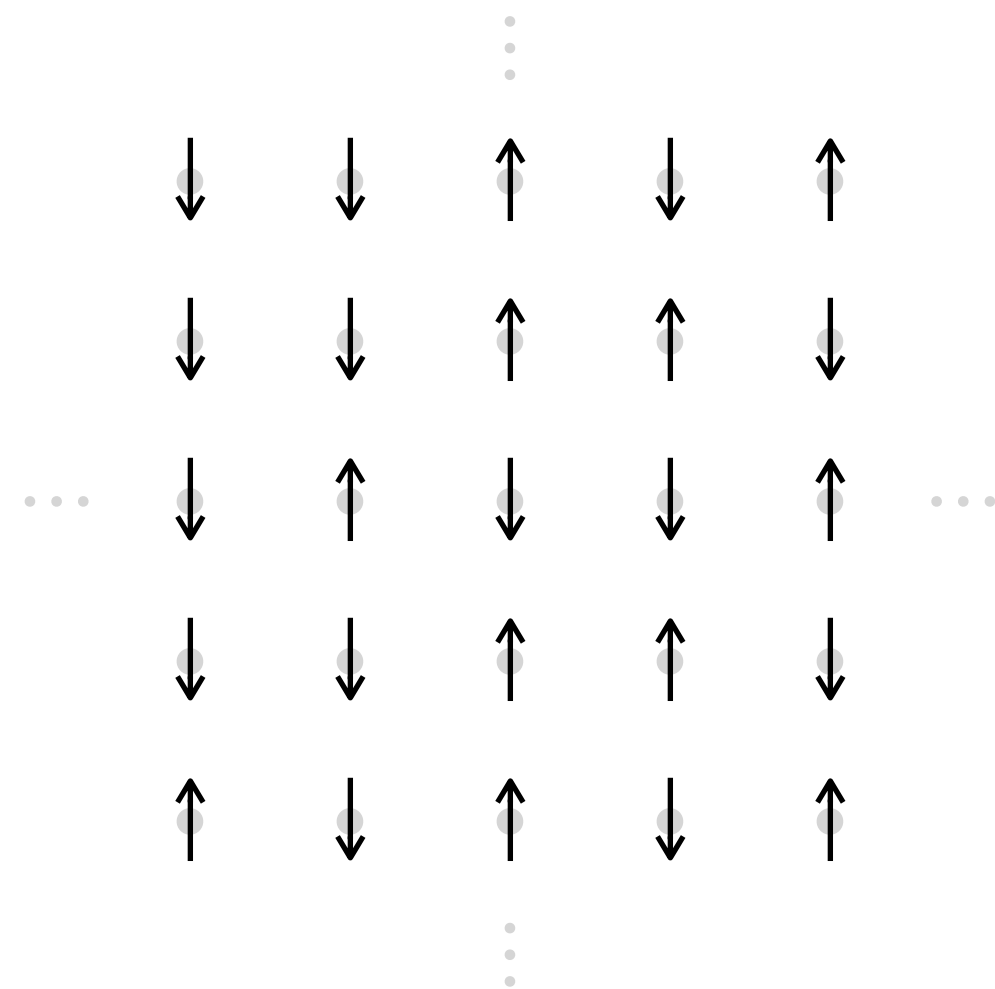
Ising Model — phase transition

Ising at $h = 0$

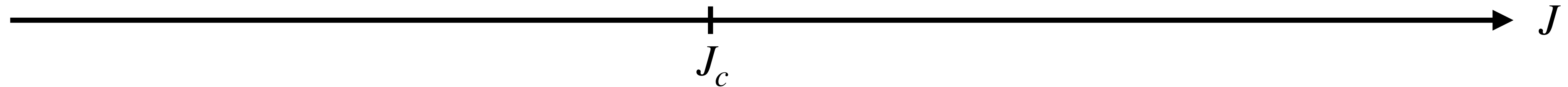


Ising Model — phase transition

Ising at $h = 0$

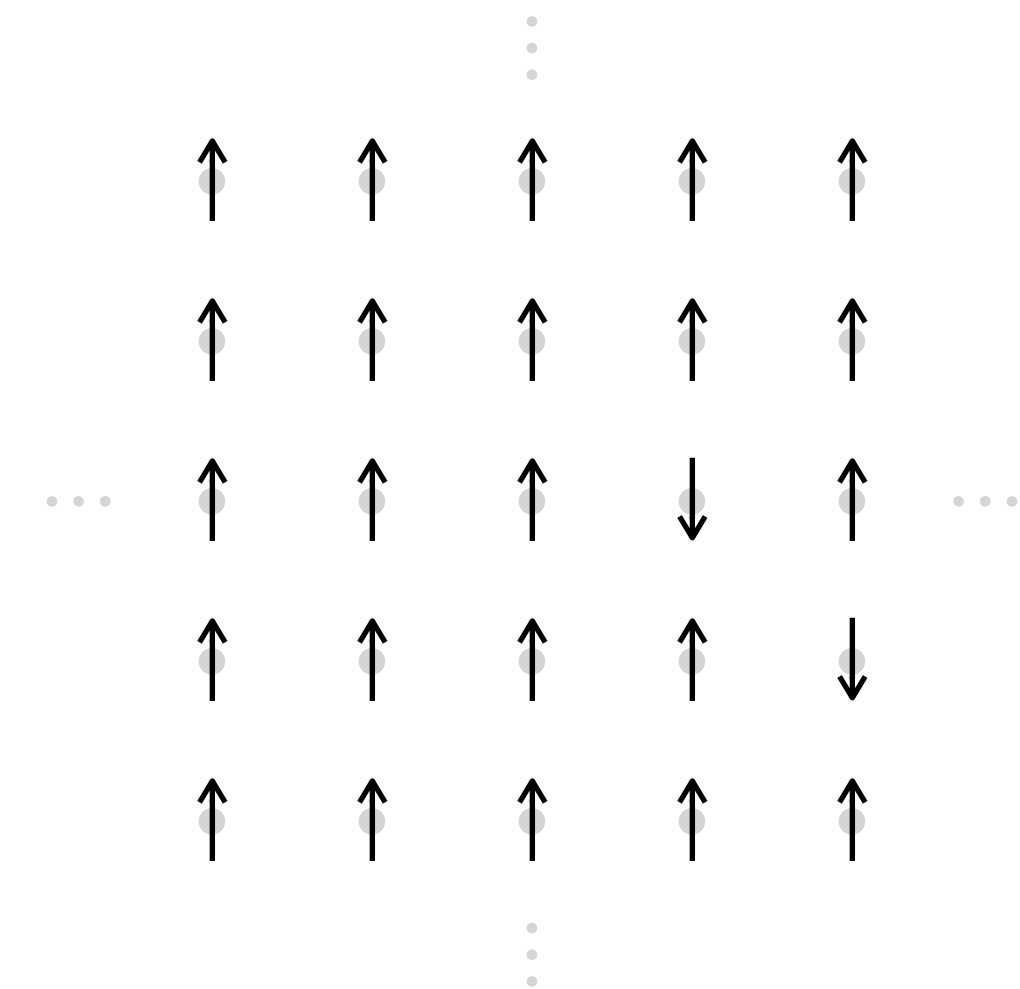
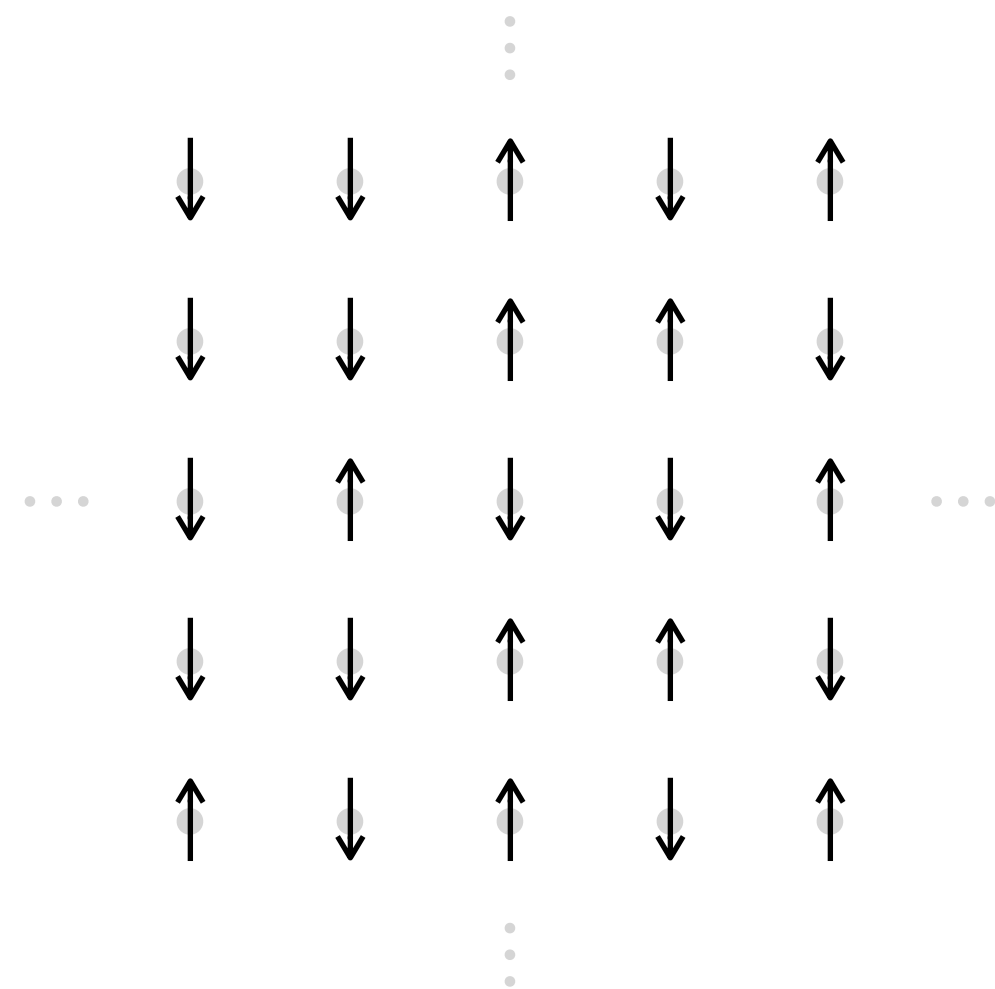


Spontaneous magnetization



Ising Model — phase transition

Ising at $h = 0$



Spontaneous magnetization



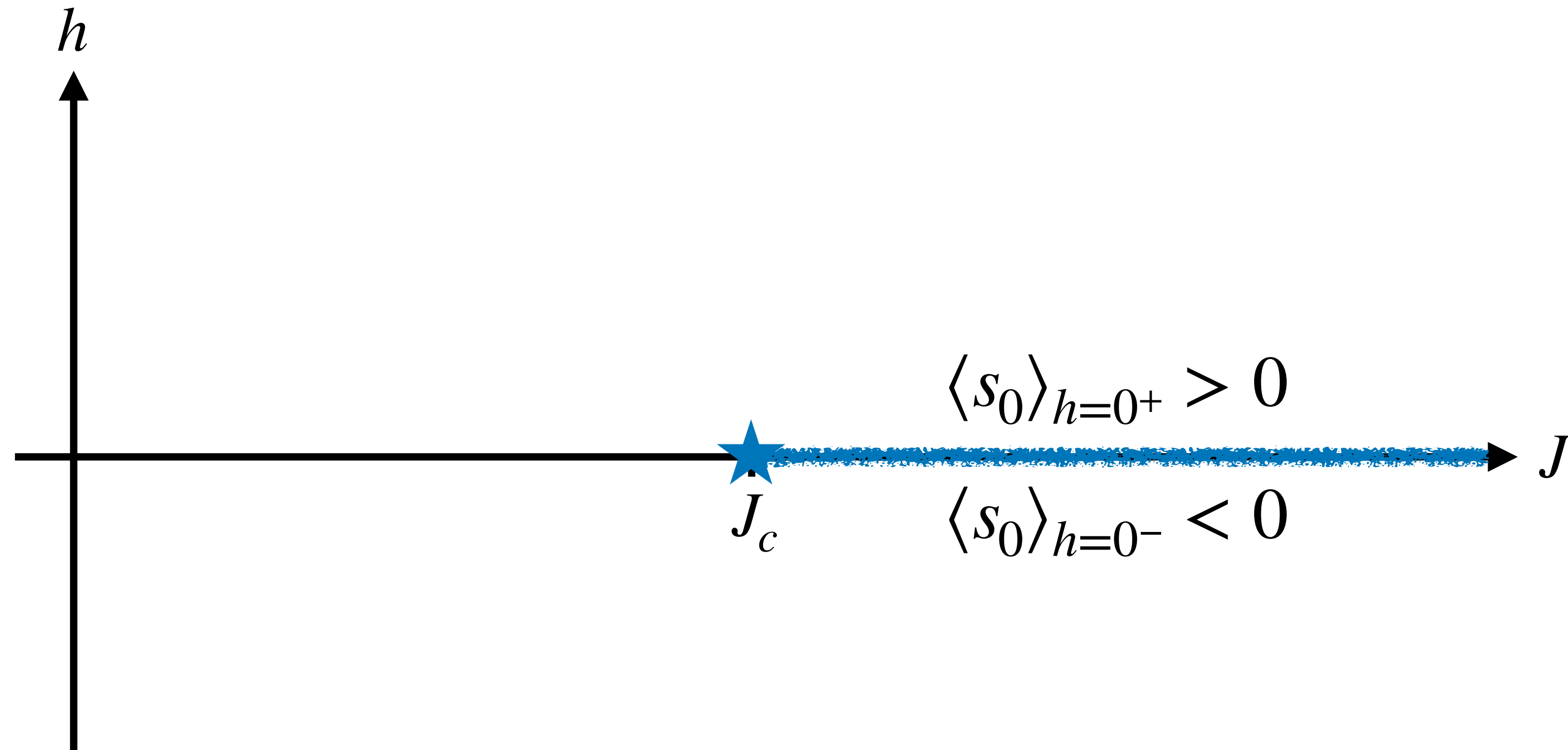
Diagnosis:
average magnetization
per site
(order parameter)

$$\langle s_0 \rangle_{h=0^+} = 0$$

$$\langle s_0 \rangle_{h=0^+} \neq 0$$

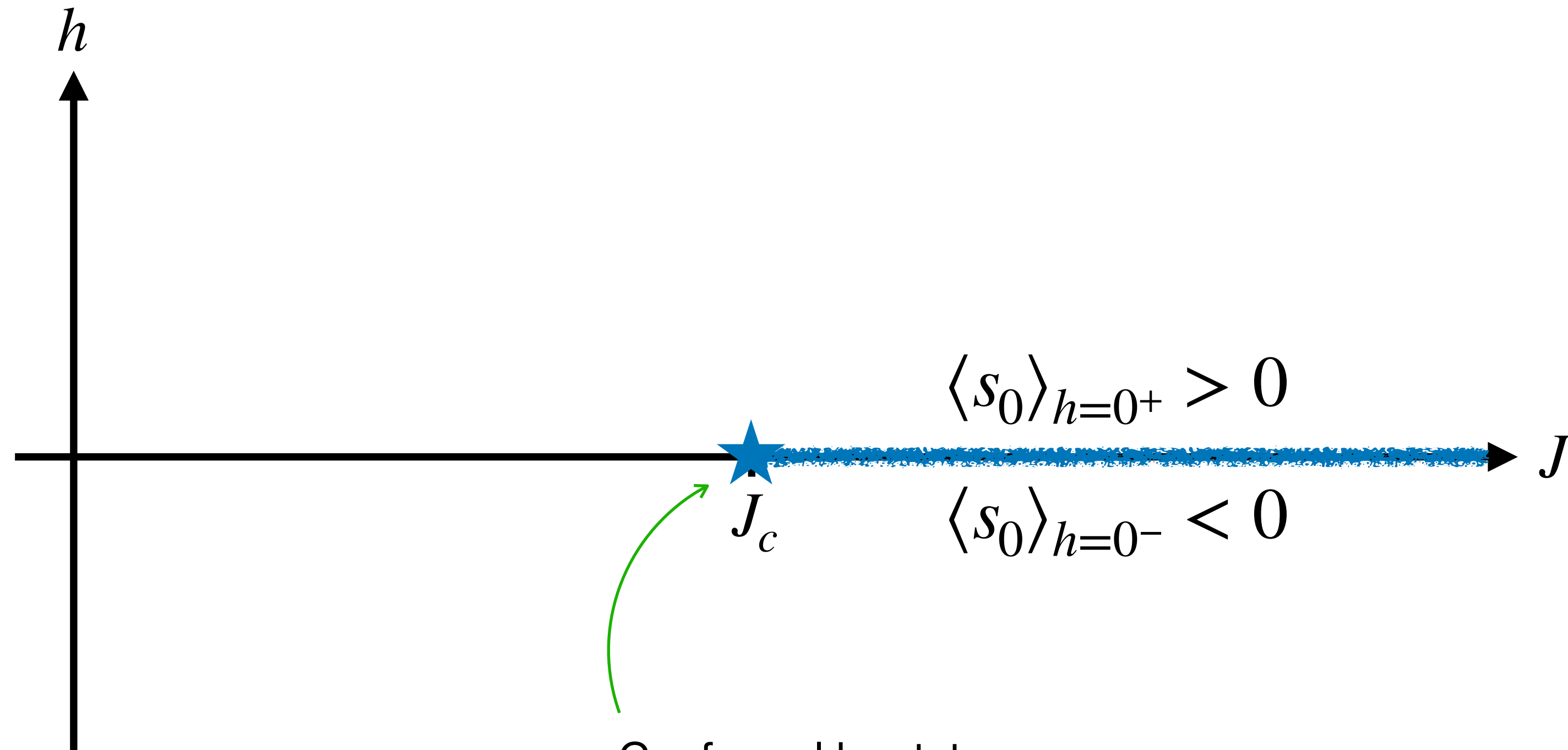
Ising Model — phase diagram

2D Ising



Ising Model — phase diagram

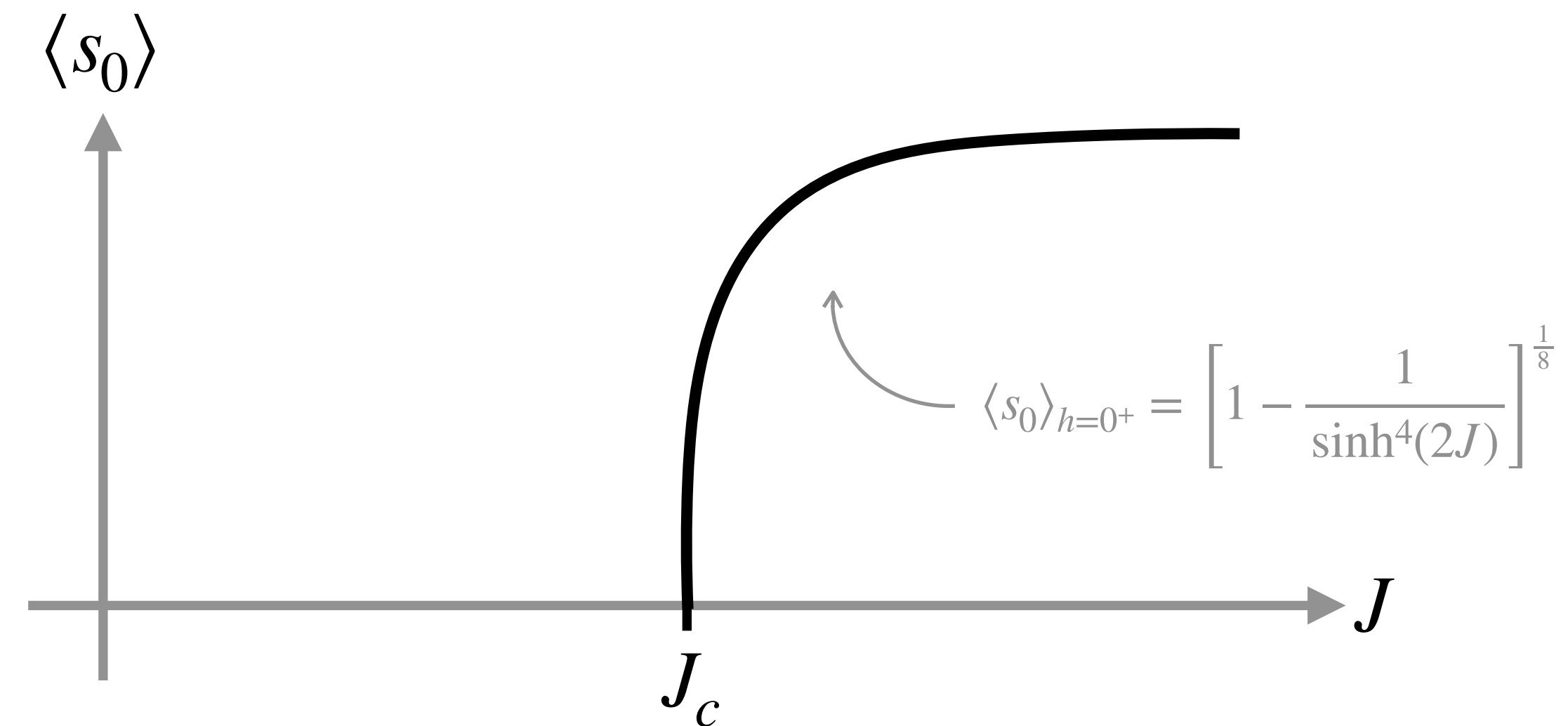
2D Ising



Conformal bootstrap
See talks by [\[Poland\]](#) [\[van Rees\]](#)

Ising Model – summary

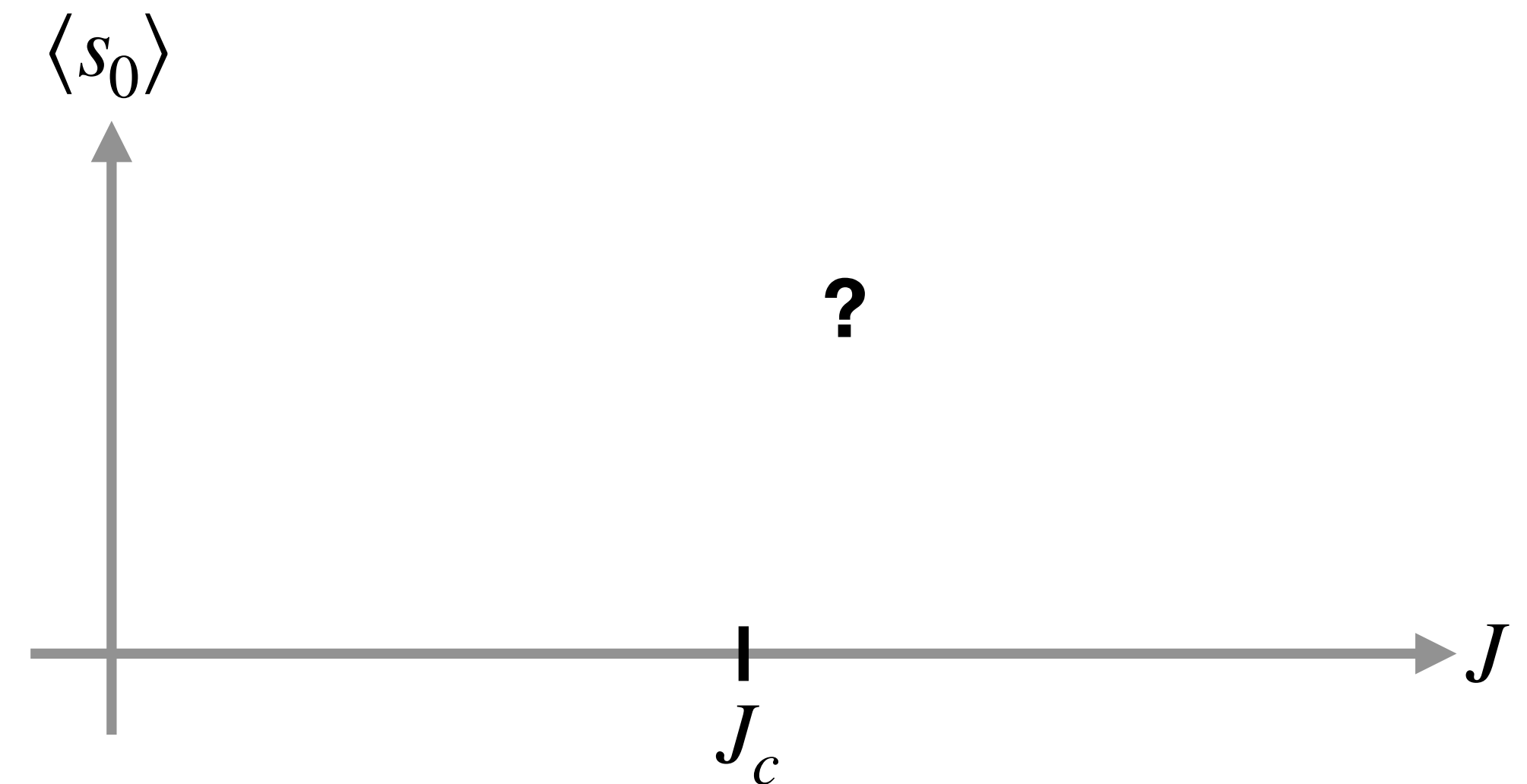
- 1D
 - Exactly soluble
 - No phase transition
- 2D
 - Exactly soluble for $h = 0$ only
 - Exhibits a phase transition!
- 3D
 - No exact solution known today
 - Exhibits a phase transition as well



Ising Model — summary

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Bootstrap:

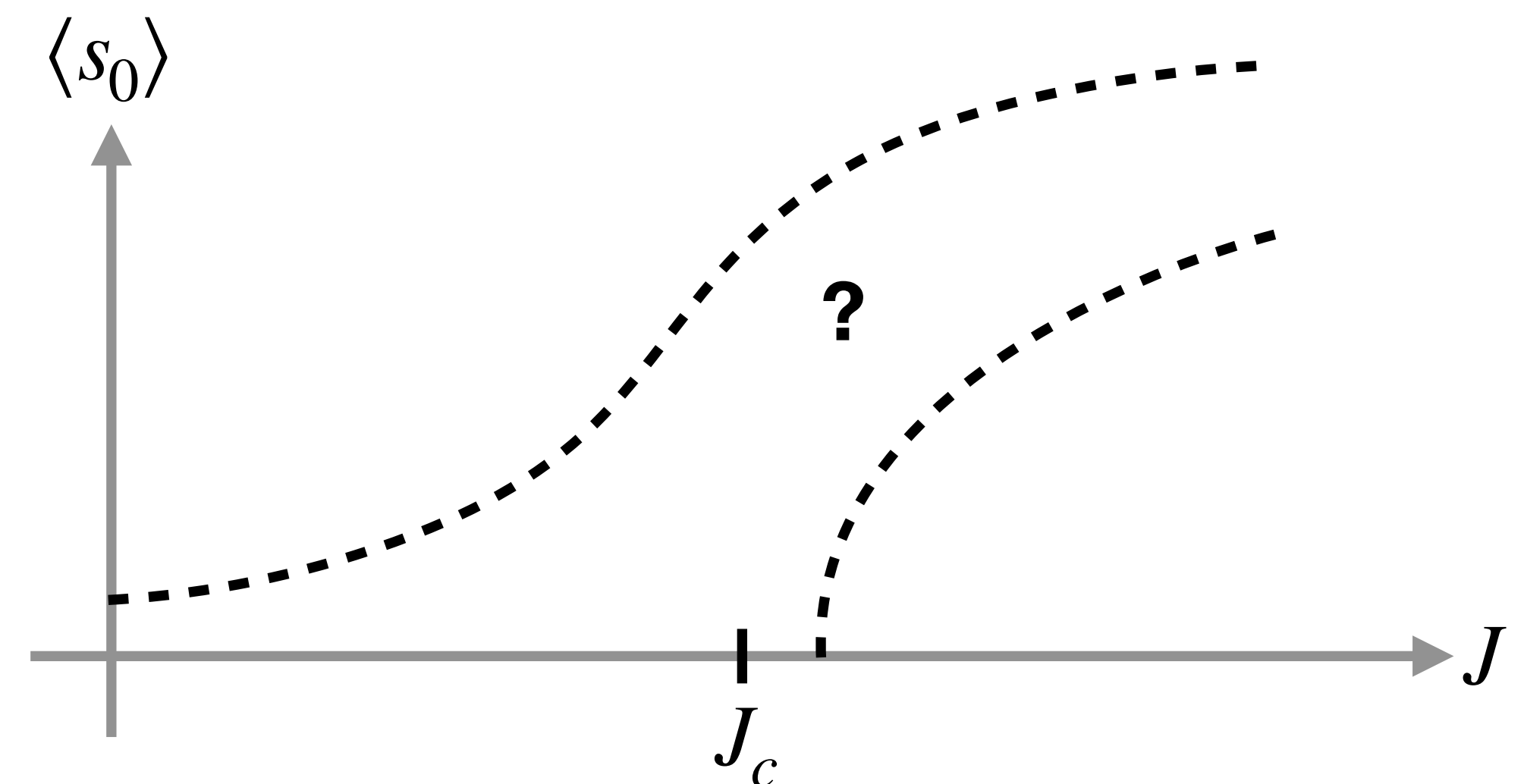


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Bootstrap:

“put a bound on our ignorance”

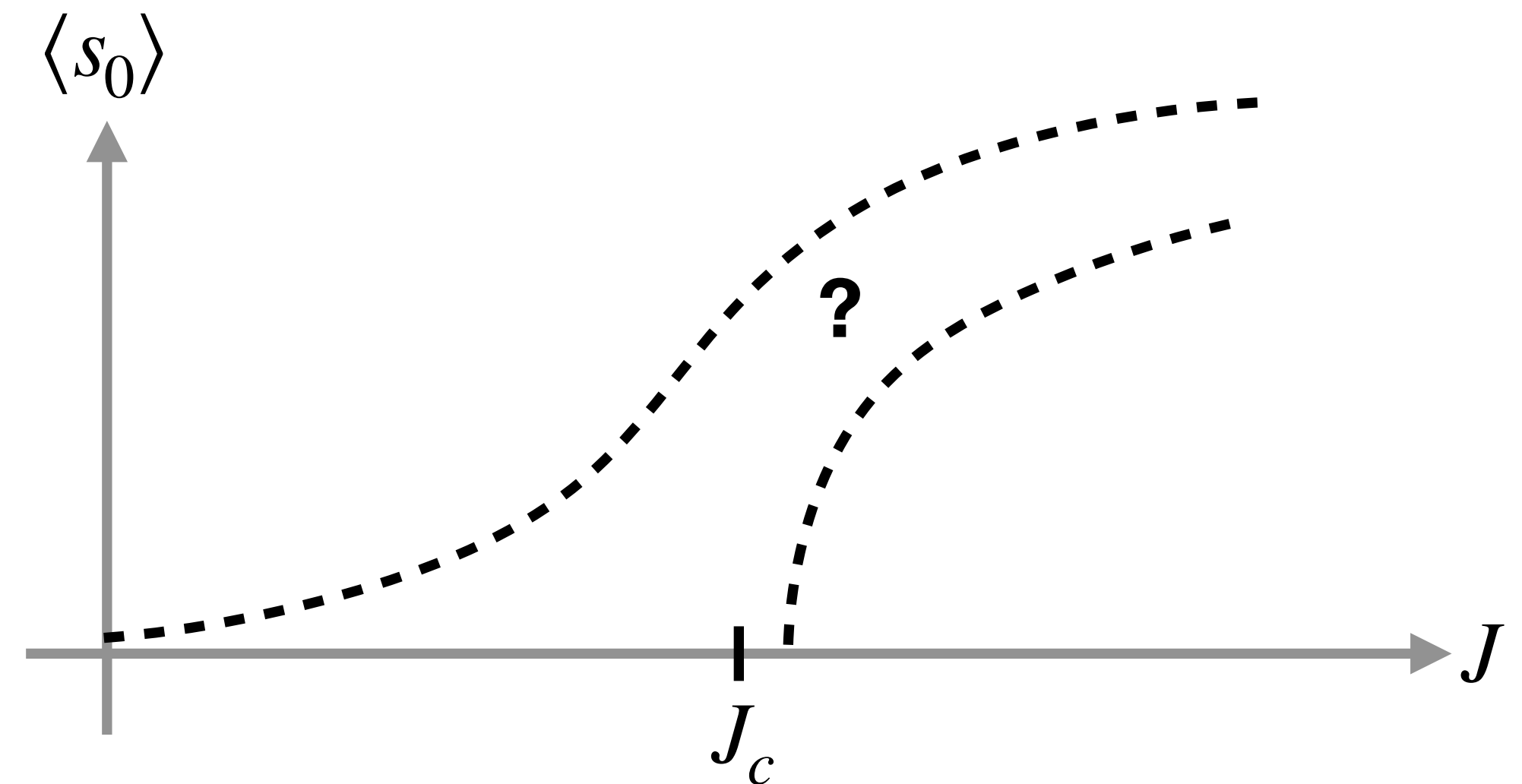


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Bootstrap:

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Ising model lattice bootstrap

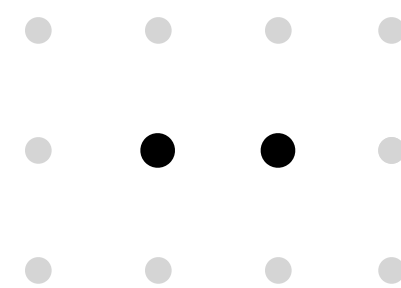
Lattice Bootstrap — Ising Model

- **Objects** to be bootstrapped: spin correlation functions

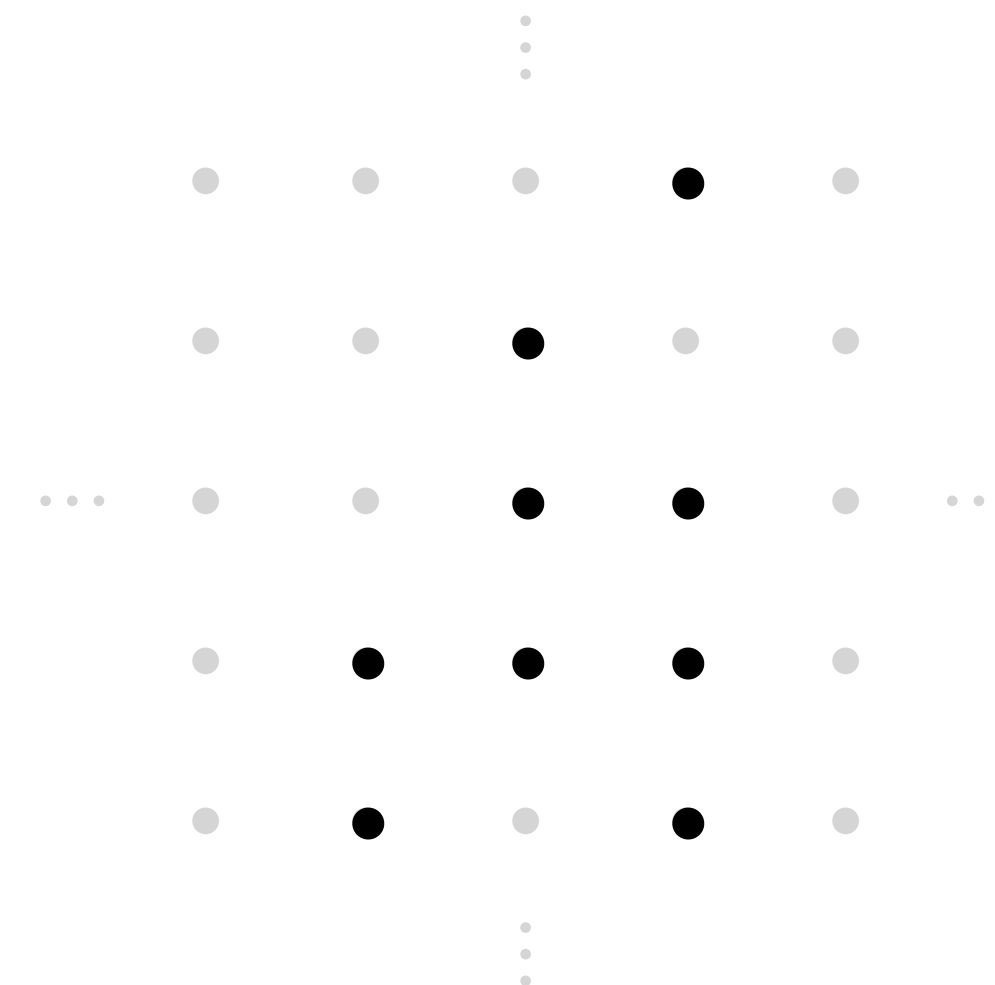
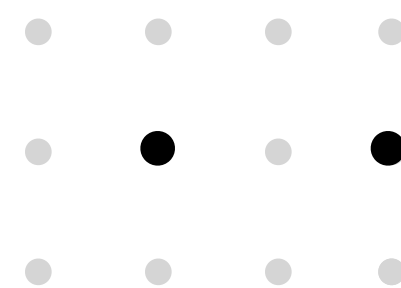
$$\langle s_A \rangle = \frac{1}{Z} \sum_{s_x = \pm 1, x \in \Lambda} s_A e^{J \sum_{\langle xy \rangle} s_x s_y + h \sum_x s_x}, \quad s_A \equiv \prod_{x \in A} s_x,$$

Examples:

- $\langle s_0 s_{e_1} \rangle$



- $\langle s_0 s_{2e_1} \rangle$

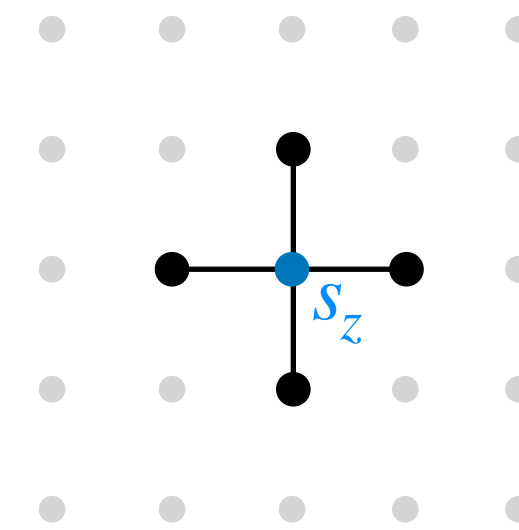


Lattice Bootstrap — Ising Model

1. **Relation**: spin-flip equations (from a change of variable)

$$s_z \rightarrow -s_z$$

Sounds trivial, but



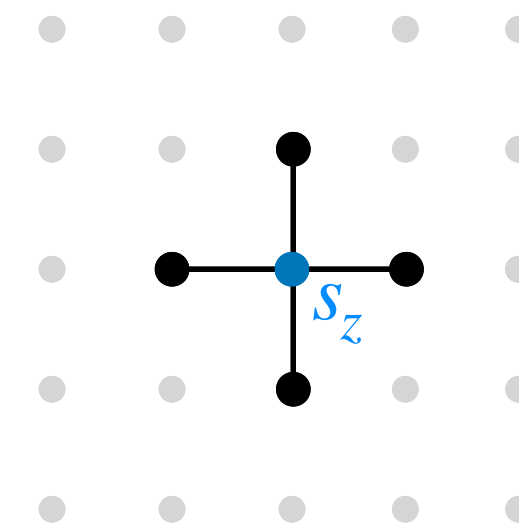
$$\exp \left[- J s_z \sum_{\mu=1}^d (s_{z+e_\mu} + s_{z-e_\mu}) - h s_z \right]$$

Lattice Bootstrap — Ising Model

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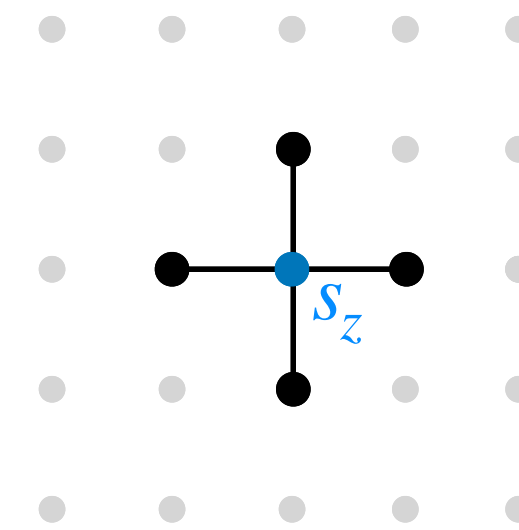
$$\exp \left[-2J s_z \sum_{\mu=1}^d (s_{z+e_\mu} + s_{z-e_\mu}) - 2h s_z + J s_z \sum_{\mu=1}^d (s_{z+e_\mu} + s_{z-e_\mu}) + h s_z \right]$$

Lattice Bootstrap — Ising Model

1. **Relation**: spin-flip equations (from a change of variable)

$$s_z \rightarrow -s_z$$

Sounds trivial, but



$$\langle s_A \rangle = \zeta_A(z) \left\langle \exp \left[-2J s_z \underbrace{\sum_{\mu=1}^d (s_{z+e_\mu} + s_{z-e_\mu})}_{:= w \in \{0, \pm 2, \dots, \pm d\}} - 2h s_z \right] \right\rangle$$

$\zeta_A(z) = \begin{cases} -1, & \text{if } z \in A \\ 1, & \text{otherwise} \end{cases}$
finitely many terms

Lattice Bootstrap — Ising Model

1. **Relation:** spin-flip equations ($s_z = s_0$ here)

$$0 = \left[-\zeta_A(0) + \cosh(2h) \right] \langle s_A \rangle + \sum_{\ell=0}^{2d} \left[A_\ell \cosh(2h) + B_\ell \sinh(2h) \right] \langle s_A w^\ell \rangle \\ - \sinh(2h) \langle s_A s_0 \rangle - \sum_{\ell=0}^{2d} \left[A_\ell \sinh(2h) + B_\ell \cosh(2h) \right] \langle s_A s_0 w^\ell \rangle$$

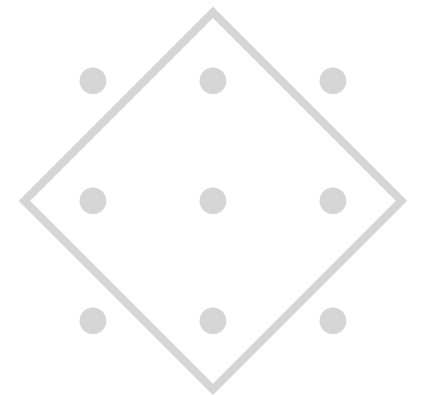
where A_ℓ and B_ℓ are some fixed coefficients ($\sinh(J)$'s and $\cosh(J)$'s)

- Linear equations
- Equations between variables in a small region

Lattice Bootstrap — Ising Model

1. **Relation**: spin-flip equations, examples in 2D $h=0$

Spin correlators in the “131” diamond:



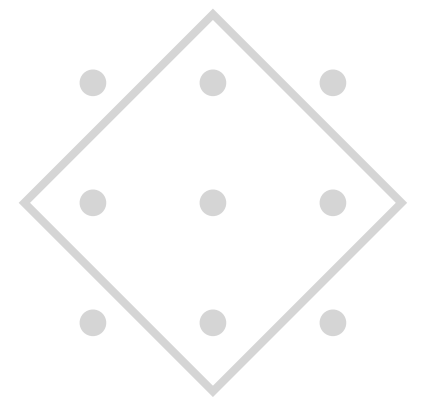
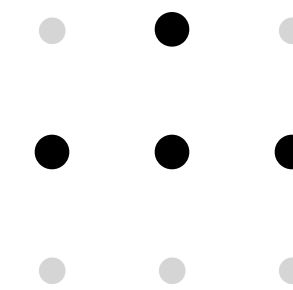
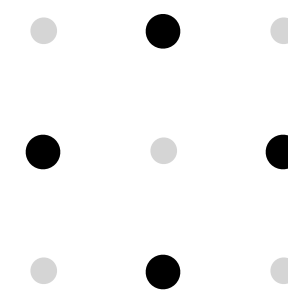
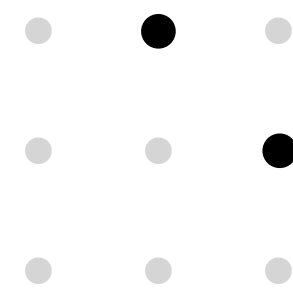
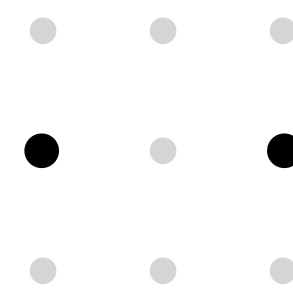
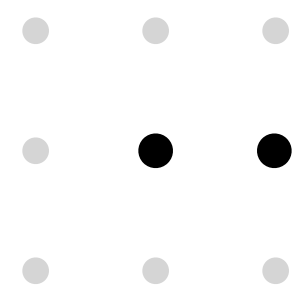
131 diamond

Lattice Bootstrap — Ising Model

1. **Relation**: spin-flip equations, examples in 2D $h=0$

Spin correlators in the “131” diamond:

$$x_1 = \langle s_0 s_{e_1} \rangle, \quad x_2 = \langle s_{e_1} s_{-e_1} \rangle, \quad x_3 = \langle s_{e_1} s_{e_2} \rangle, \quad x_4 = \langle s_{e_1} s_{-e_1} s_{e_2} s_{-e_2} \rangle, \quad x_5 = \langle s_0 s_{e_1} s_{-e_1} s_{e_2} \rangle$$



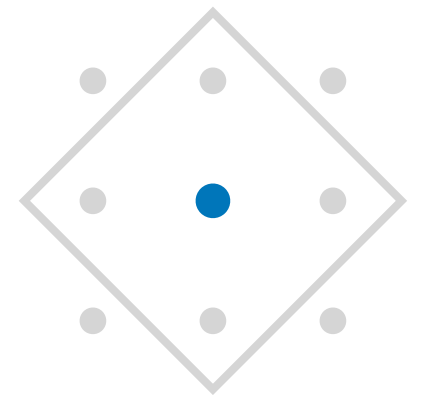
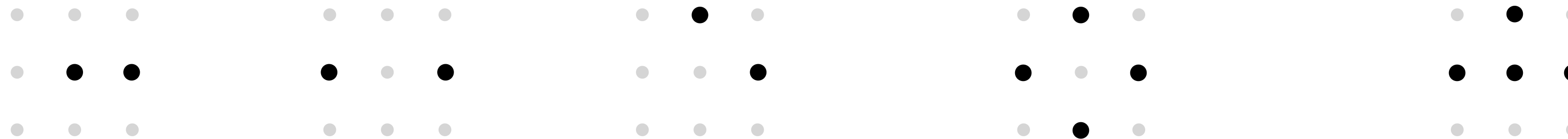
131 diamond

Lattice Bootstrap — Ising Model

1. Relation: spin-flip equations, examples in 2D h=0

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131 diamond

Spin-flip equations relates spin correlators:

(total of 6 spin-flip eqs for 131, not all independent)

$$(A = \emptyset) \quad 0 = A_2 (4 + 4x_2 + 8x_3) + A_4 (40 + 64x_2 + 128x_3 + 24x_4) - 4B_1 x_1 - B_3 (40x_1 + 24x_5)$$

$$A_2 = \frac{-15 + 16 \cosh(4J) - \cosh(8J)}{48}$$

$$A_4 = \frac{3 - 4 \cosh(4J) + \cosh(8J)}{192}$$

$$B_1 = \frac{8 \sinh(4J) - \sinh(8J)}{12}$$

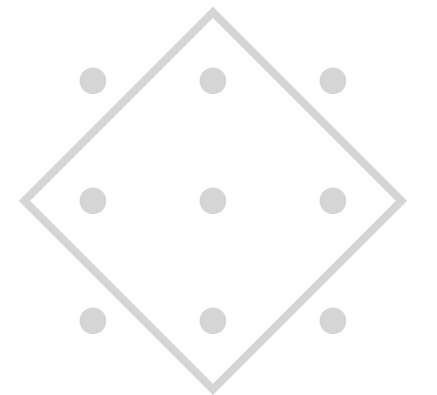
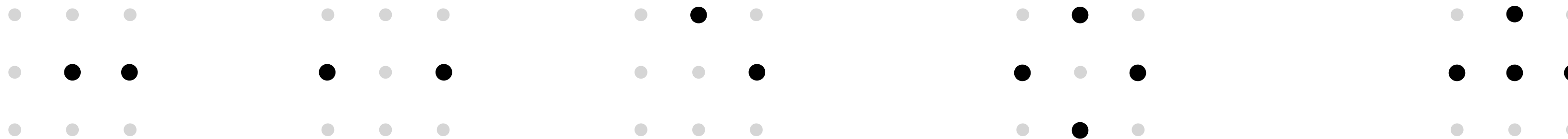
$$B_3 = \frac{-2 \sinh(4J) + \sinh(8J)}{48}$$

Lattice Bootstrap — Ising Model

1. Relation: spin-flip equations, examples in 2D h=0

Spin correlators in the “131” diamond:

$$x_1 = \langle s_0 s_{e_1} \rangle, \quad x_2 = \langle s_{e_1} s_{-e_1} \rangle, \quad x_3 = \langle s_{e_1} s_{e_2} \rangle, \quad x_4 = \langle s_{e_1} s_{-e_1} s_{e_2} s_{-e_2} \rangle, \quad x_5 = \langle s_0 s_{e_1} s_{-e_1} s_{e_2} \rangle$$



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$$B_3 = \frac{-2 \sinh(4J) + \sinh(8J)}{48}$$

Correlators x_4 and x_5 are not independent:

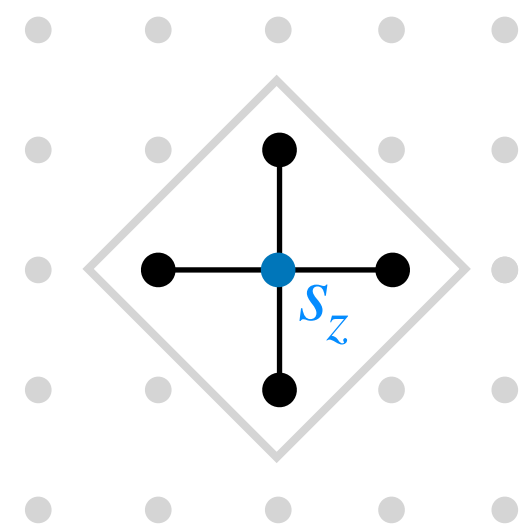
$$x_4 = \frac{-8(\cosh(2J) + \cosh(6J))x_1 + \sinh(2J)(-1 + 2x_2 + 4x_3) + \sinh(6J)(3 + 2x_2 + 4x_3)}{4 \sinh^3(2J)}$$

$$x_5 = \frac{-(1 + 3 \cosh(4J))x_1 + \sinh(4J)(1 + x_2 + 2x_3)}{2 \sinh^2(2J)}$$

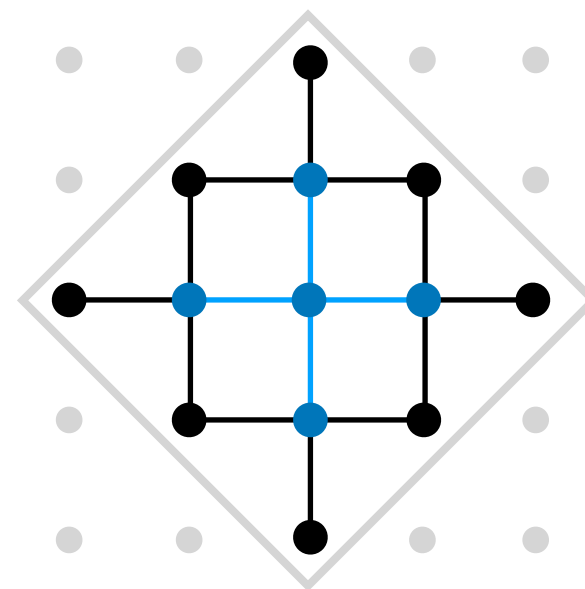
Lattice Bootstrap — Ising Model

1. Relation: spin-flip equations

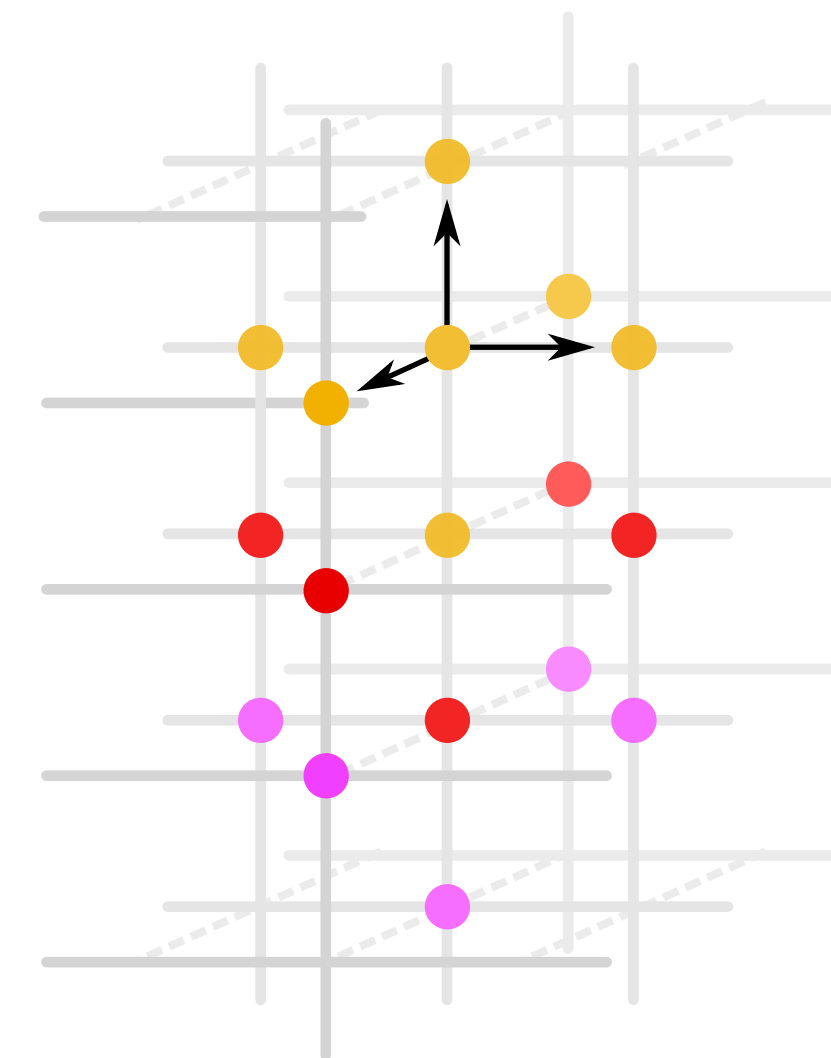
- Linear equations
- Equations between variables in a small subregion



131 diamond



13531 diamond



15551 domain

Lattice Bootstrap — Ising Model

1. Relation: spin-flip equations

	primate subsets	ind. spin-flip equations	ind. spin correlators
2D 131, $h=0$	6	2	3
2D 13531, $h=0$	569	549	19
2D 13531, $h\neq 0$	1127	1097	29
3D 15551, $h=0$	5214	4584	629

- Solve numerically
- Not the bottleneck of the computation

Lattice Bootstrap — Ising Model

2. Positivity: several kinds

- Reflection positivity
- Square positivity (appears to be redundant)
- Griffiths inequalities

Lattice Bootstrap — Ising Model

2. Reflection **Positivity**

$$\langle \mathcal{O}^R \mathcal{O} \rangle \geq 0, \quad \text{where} \quad \mathcal{O} = \sum_{A \in H} t^A \underline{s}_A, \quad \mathcal{O}^R = \sum_{A \in H} t^A \underline{s}_{\mathbf{R}(A)}$$

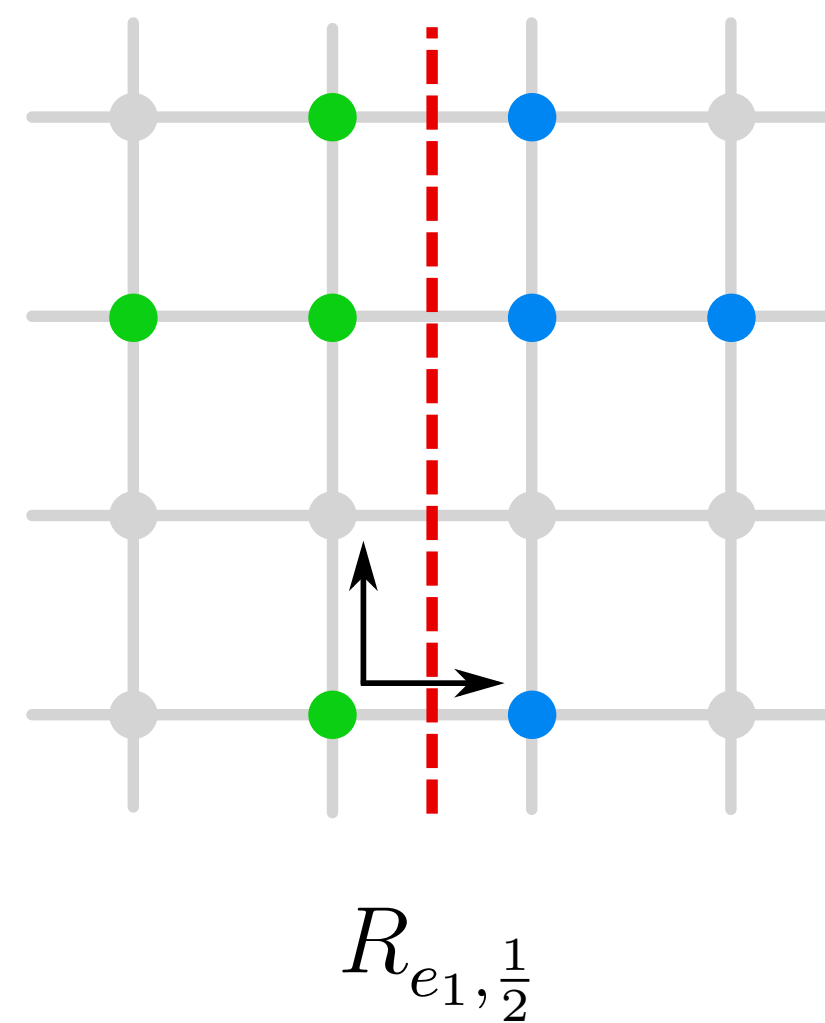
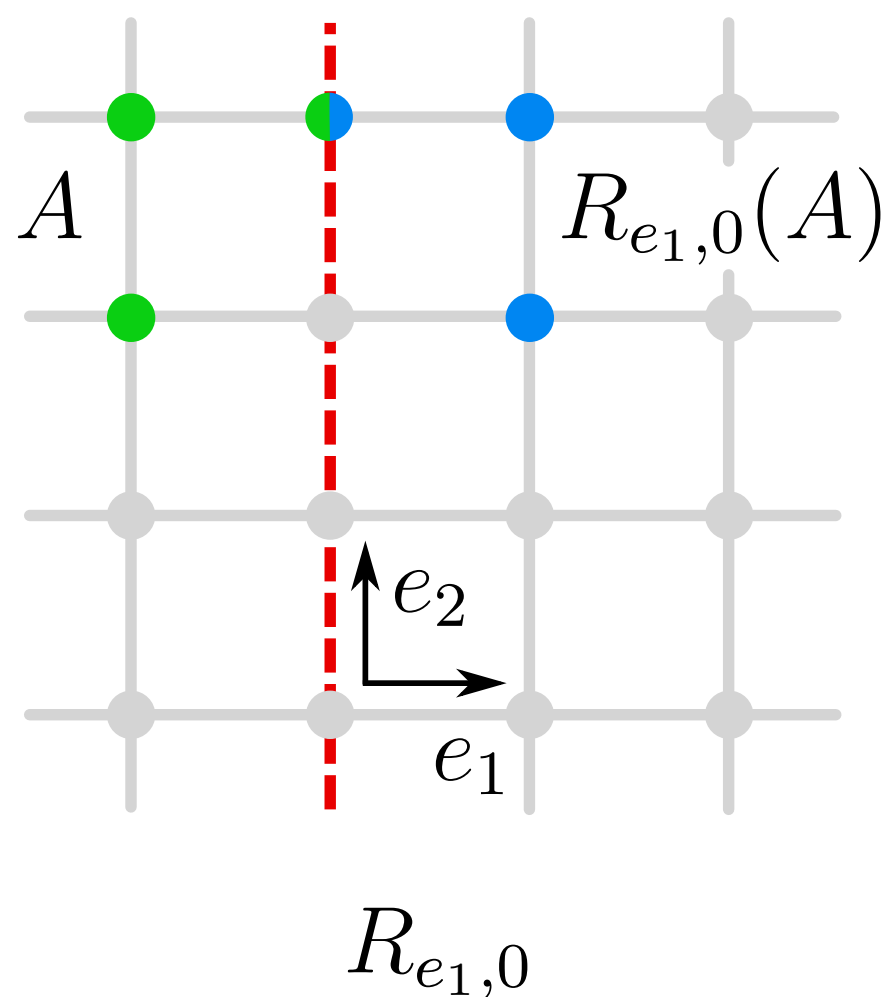
The three inequivalent reflection planes: $R_{v,c}(x) = x - \frac{2(v \cdot x - c)}{v^2} v$ $H = \{x \in \Lambda : v \cdot x \geq c\}$

Lattice Bootstrap — Ising Model

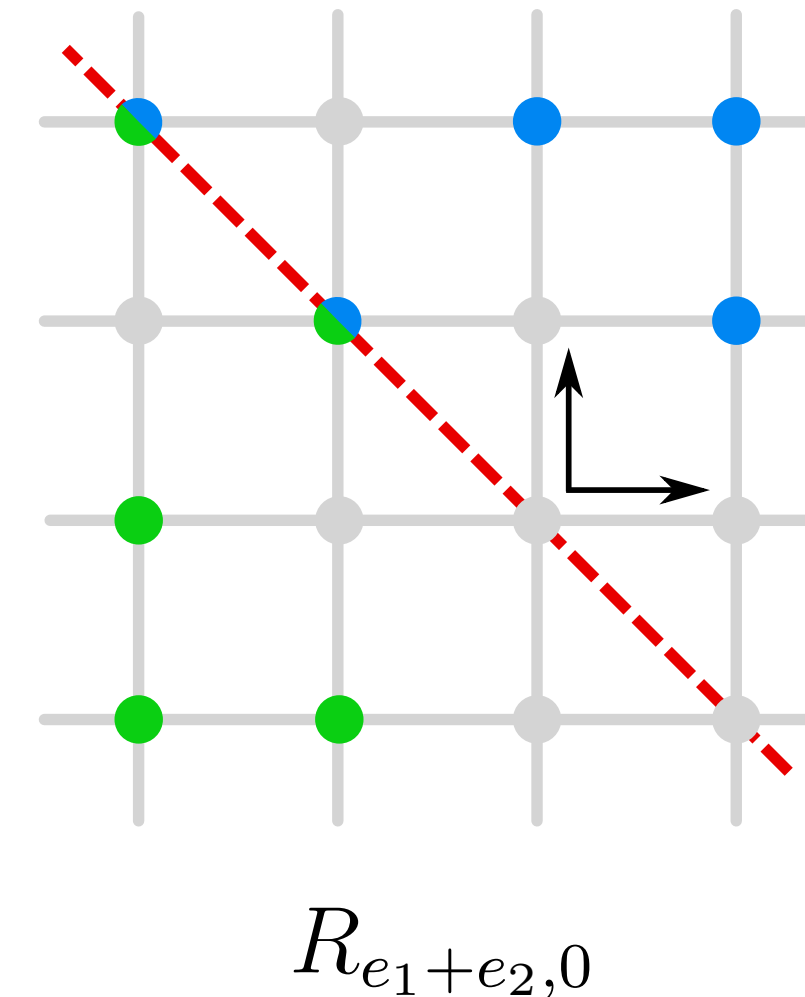
2. Reflection Positivity

$$\langle \mathcal{O}^R \mathcal{O} \rangle \geq 0, \quad \text{where} \quad \mathcal{O} = \sum_{A \subset H} t^A \underline{s}_A, \quad \mathcal{O}^R = \sum_{A \subset H} t^A \underline{s}_{\mathbf{R}(A)}$$

The three inequivalent reflection planes: $R_{v,c}(x) = x - \frac{2(v \cdot x - c)}{v^2} v$ $H = \{x \in \Lambda : v \cdot x \geq c\}$



$(J \geq 0)$



Lattice Bootstrap — Ising Model

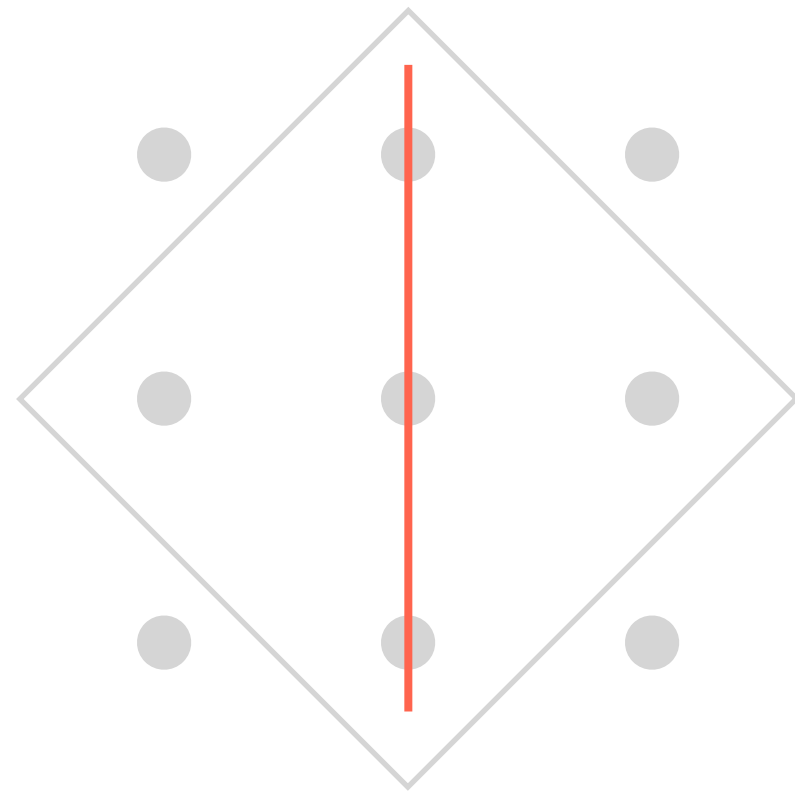
2. Reflection **Positivity**

$$\langle \mathcal{O}^R \mathcal{O} \rangle \geq 0, \quad \text{where} \quad \mathcal{O} = \sum_{A \in H} t^A \underline{s}_A, \quad \mathcal{O}^R = \sum_{A \in H} t^A \underline{s}_{\mathbf{R}(A)}$$

Equivalently, $\vec{t}^T M \vec{t} \geq 0$ with $M_{AA'} := \langle s_{R(A)} s_{A'} \rangle \Leftrightarrow M \succeq 0$

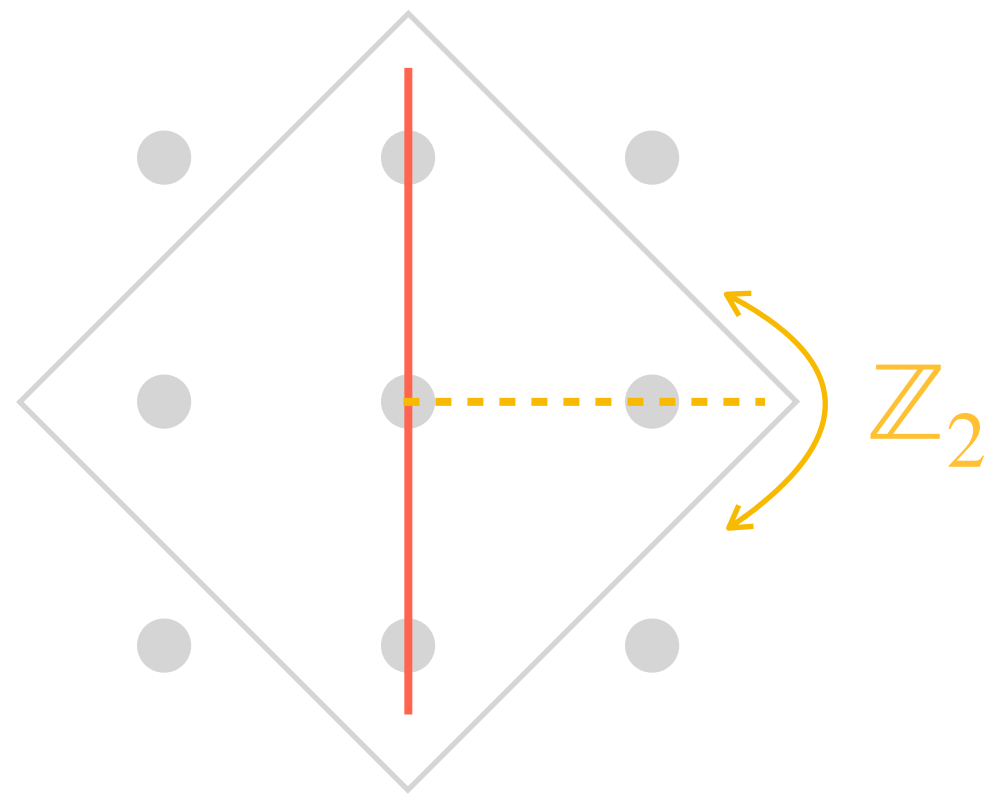
Lattice Bootstrap — Ising Model

2. Reflection **Positivity**, example 131 diamond



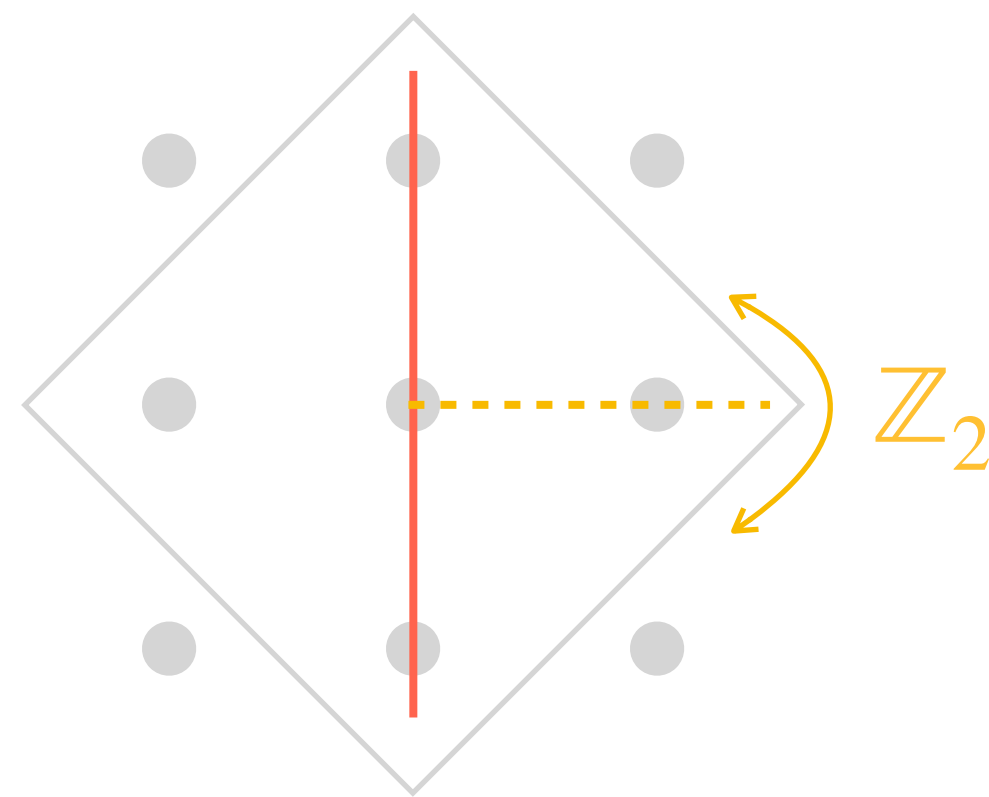
Lattice Bootstrap — Ising Model

2. Reflection **Positivity**, example 131 diamond



Lattice Bootstrap — Ising Model

2. Reflection **Positivity**, example 131 diamond



$$\begin{aligned} \text{even : } & 1, s_0 s_{e_1}, s_{e_2} s_{-e_2}, \frac{s_0 s_{e_2} + s_0 s_{-e_2}}{2}, \frac{s_{e_1} s_{e_2} + s_{e_1} s_{-e_2}}{2}, s_0 s_{e_1} s_{e_2} s_{-e_2}; \\ \text{odd : } & \frac{s_0 s_{e_2} - s_0 s_{-e_2}}{2}, \frac{s_{e_1} s_{e_2} - s_{e_1} s_{-e_2}}{2}. \end{aligned}$$

Invariant SDP: sufficient to impose positive semidefiniteness of matrices built from states that transform in each irrep of the symmetry group.

Lattice Bootstrap — Ising Model

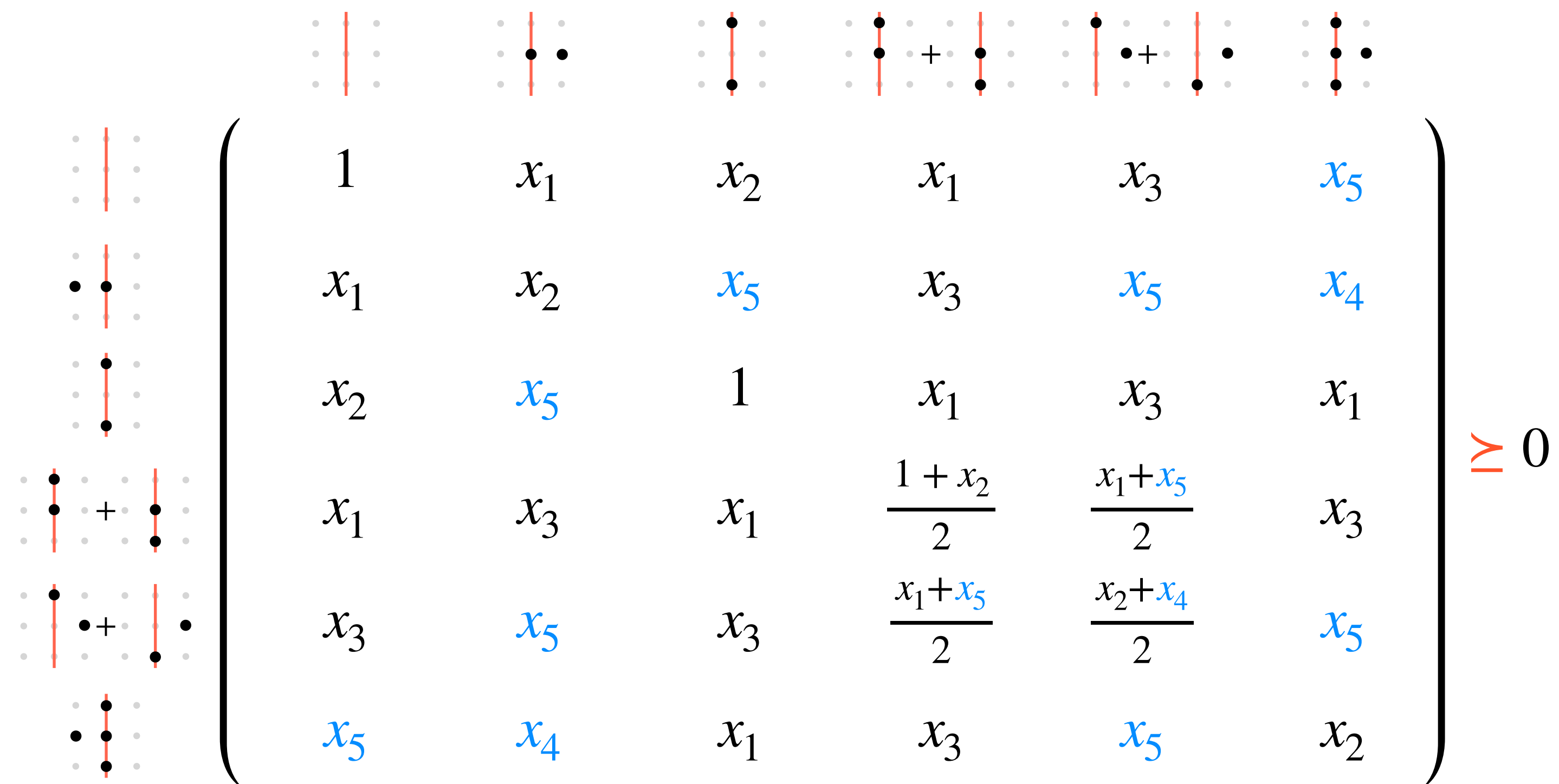
2. Reflection Positivity, example

[illegible]

$$x_1 = \langle s_0 s_{e_1} \rangle, \quad x_2 = \langle s_{e_1} s_{-e_1} \rangle, \quad x_3 = \langle s_{e_1} s_{e_2} \rangle, \quad x_4 = \langle s_{e_1} s_{-e_1} s_{e_2} s_{-e_2} \rangle, \quad x_5 = \langle s_0 s_{e_1} s_{-e_1} s_{e_2} \rangle$$

Lattice Bootstrap — Ising Model

2. Reflection **Positivity**, example



$$\begin{pmatrix}
 \begin{array}{c} \cdot \\ \cdot \\ \cdot \end{array} \\
 \begin{array}{c} \cdot \\ \bullet \\ \bullet \end{array} \\
 \begin{array}{c} \cdot \\ \bullet \\ \bullet \end{array} \\
 \begin{array}{c} \cdot \\ \bullet \\ \cdot \end{array} \\
 \begin{array}{c} \cdot \\ \bullet \\ \bullet \end{array} \\
 \begin{array}{c} \cdot \\ \bullet \\ \bullet \end{array}
 \end{pmatrix}
 \begin{pmatrix}
 1 & x_1 & x_2 & x_1 & x_3 & x_5 \\
 x_1 & x_2 & x_5 & x_3 & x_5 & x_4 \\
 x_2 & x_5 & 1 & x_1 & x_3 & x_1 \\
 x_1 & x_3 & x_1 & \frac{1+x_2}{2} & \frac{x_1+x_5}{2} & x_3 \\
 x_3 & x_5 & x_3 & \frac{x_1+x_5}{2} & \frac{x_2+x_4}{2} & x_5 \\
 x_5 & x_4 & x_1 & x_3 & x_5 & x_2
 \end{pmatrix}
 \succeq 0$$

$$x_1 = \langle s_0 s_{e_1} \rangle, \quad x_2 = \langle s_{e_1} s_{-e_1} \rangle, \quad x_3 = \langle s_{e_1} s_{e_2} \rangle, \quad x_4 = \langle s_{e_1} s_{-e_1} s_{e_2} s_{-e_2} \rangle, \quad x_5 = \langle s_0 s_{e_1} s_{-e_1} s_{e_2} \rangle$$

Lattice Bootstrap — Ising Model

2. Griffith's inequalities (**Positivity**)

[Glimm-Jaffe]

$$\langle s_A \rangle \geq 0 \quad (G_1)$$

$$\langle s_A s_B \rangle - \langle s_A \rangle \langle s_B \rangle \geq 0 \quad (G_2)$$

for finite subsets $A, B \subset \Lambda$.

Lattice Bootstrap — Ising Model

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[Glimm-Jaffe]

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for finite subsets $A, B \subset \Lambda$.

- True for ferromagnetic coupling $J \geq 0$.
- G_2 implies $\langle s_A \rangle$ are monotonic as functions of J or h .
- G_2 are non-linear inequalities. Many of them are non-convex.
Thus far, we have not been able to implement them in SDP in a useful way. More on this later.

Lattice Bootstrap — Ising Model

SDP problem:

- Reflection **positivity** matrices, one for each irrep of symmetry group:

$$X^{(k)} = \sum_{A \in \mathcal{D}} Y_A^{(k)} \langle s_A \rangle \geq 0, \forall k$$

(e.g. $k=\{\text{even, odd}\}$ in previous slide)

- Plug-in numerical solution of **spin-flip equations** $\langle s_A \rangle = \sum_I a_A^I \langle s_I \rangle + c_A$, where $\langle s_I \rangle$ are the independent variables, and so

$$X^{(k)} = \sum_I W_I^{(k)} \langle s_I \rangle + V^{(k)} \geq 0, \forall k$$

$$\text{where } W_I^{(k)} = \sum_A a_A^I Y_A^{(k)}, \quad V^{(k)} = \sum_A c_A Y_A^{(k)}$$

Lattice Bootstrap — Ising Model

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$$\min_{y_I \in \mathbb{R}} \sum_I b^I y_I$$

$$\text{subject to } \sum_I a_A^I y_I + c_A \geq 0, \quad \forall A \quad (G_1)$$

$$\text{and } \sum_I W_I^{(k)} y_I + V^{(k)} \geq 0, \quad \forall k \quad (RP)$$

Solve using MOSEK or SDPA-QD.

Did not impose G_2

Lattice Bootstrap — Ising Model

Some numbers:

2D 13531 diamond $h \neq 0$

- 29 independent variables
- 8 positive semidefinite matrices
($288^2, 224^2, 12^2, 4^2, 144^2, 112^2, 20^2, 12^2$)

3D 15551 domain $h=0$

- 629 independent variables
- 17 positive semidefinite matrices
Largest matrix: 2400×2400
- Too big for SDP solver. Had to truncate matrices to 100×100

Lattice Bootstrap — Ising Model

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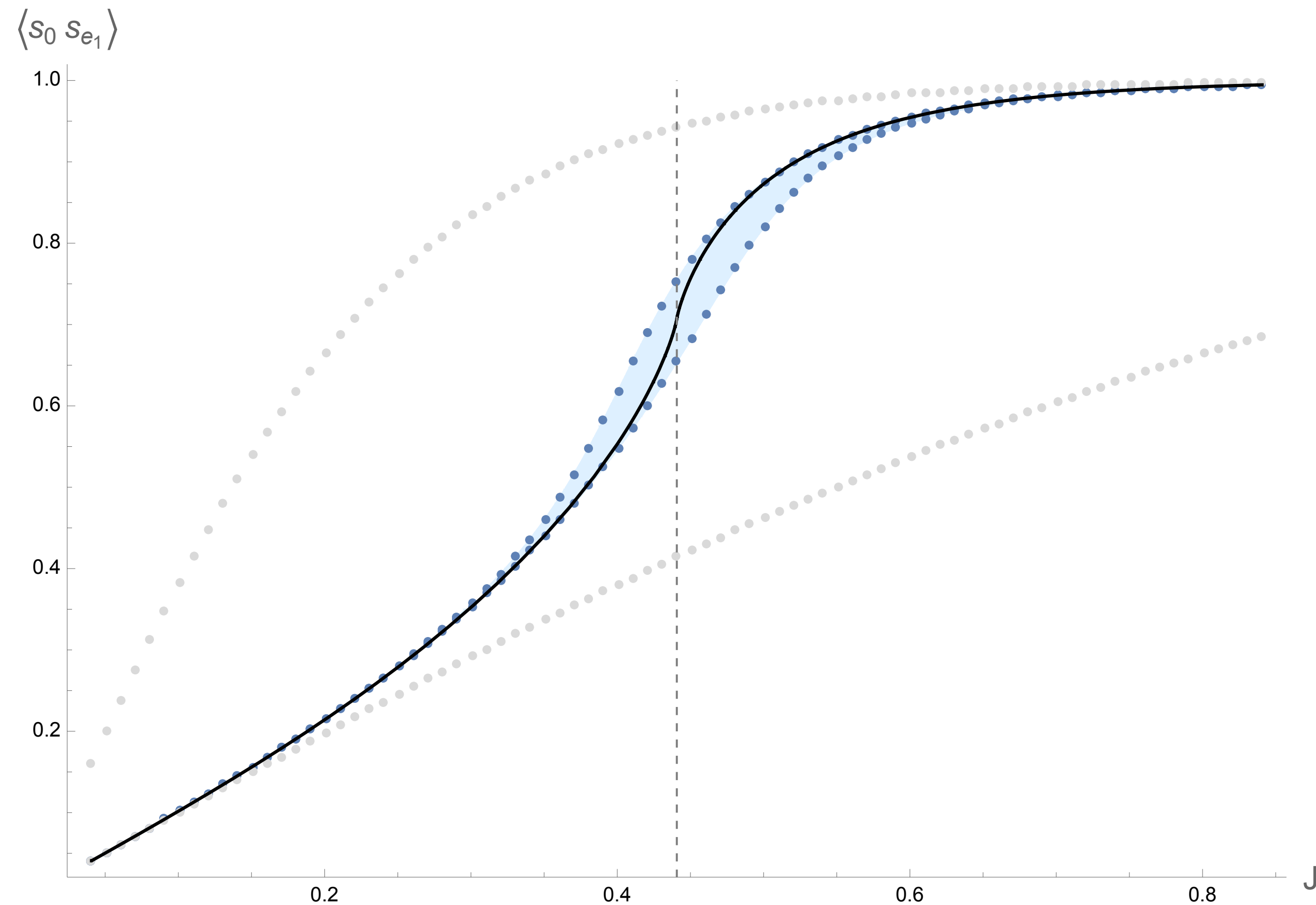
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Largest matrix: 2400×2400
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Large scale separation in positive-semidefinite matrices $\sim 10^{10}$

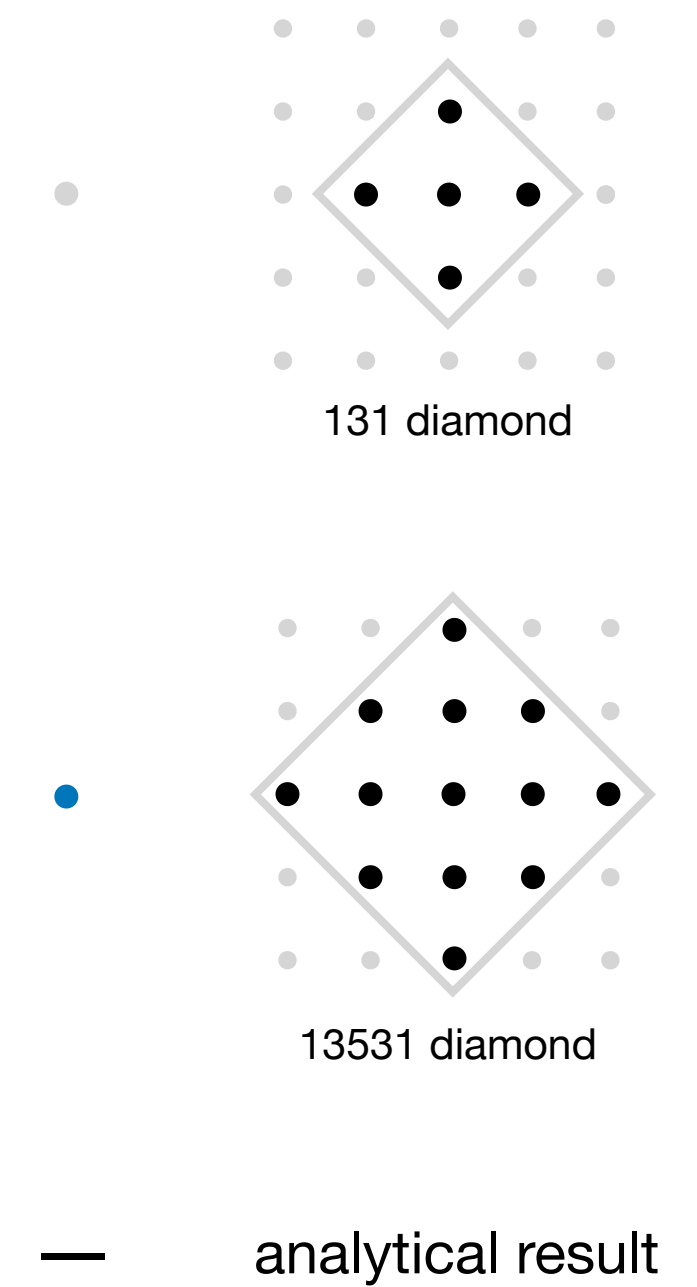
- Effectively lose 10 digits of accuracy
- SDPA-QD for most precise results, and MOSEK for when ≤ 6 digits is enough (which is a much faster SDP solver).

Results

2D Ising, $h=0$

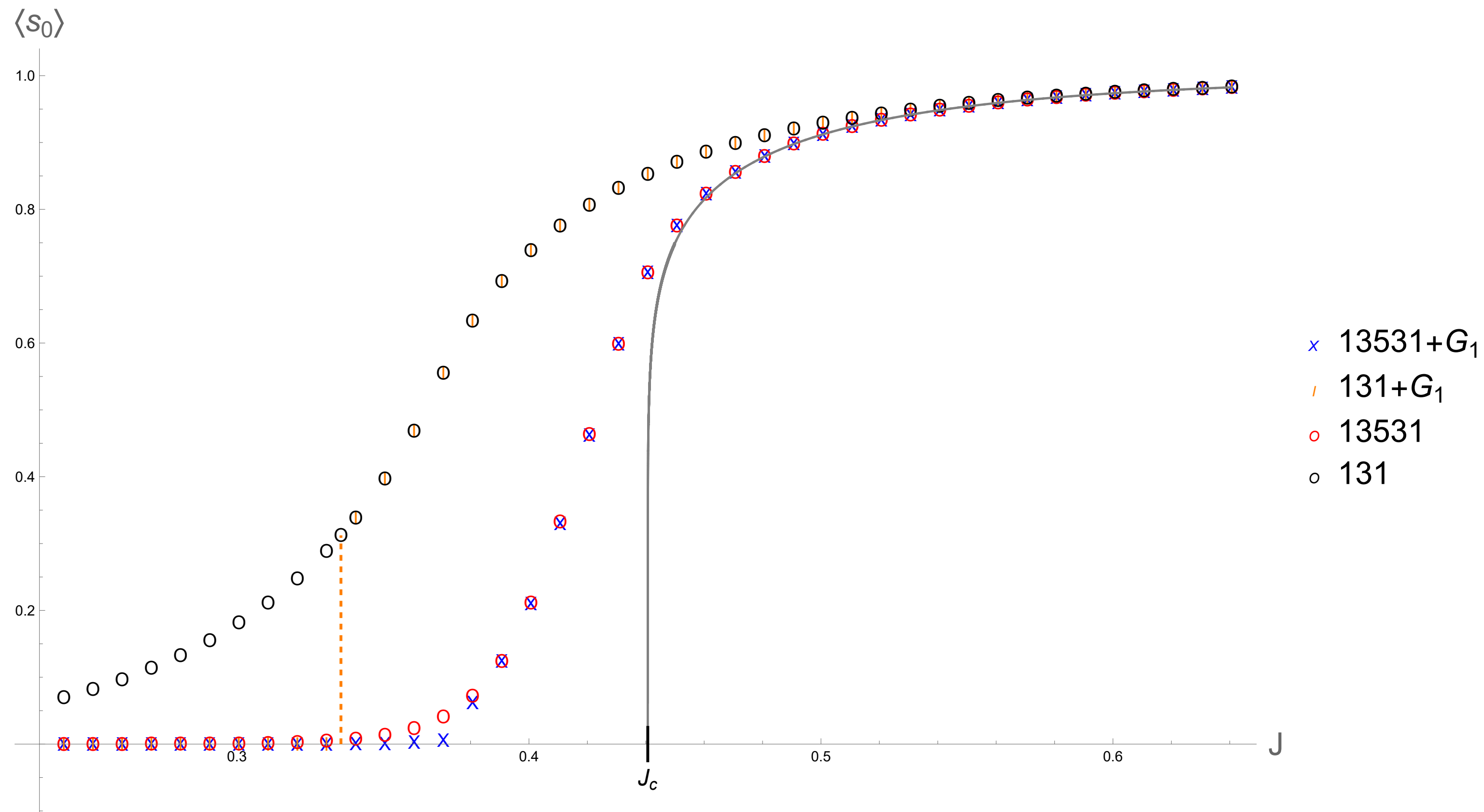


data shown for:



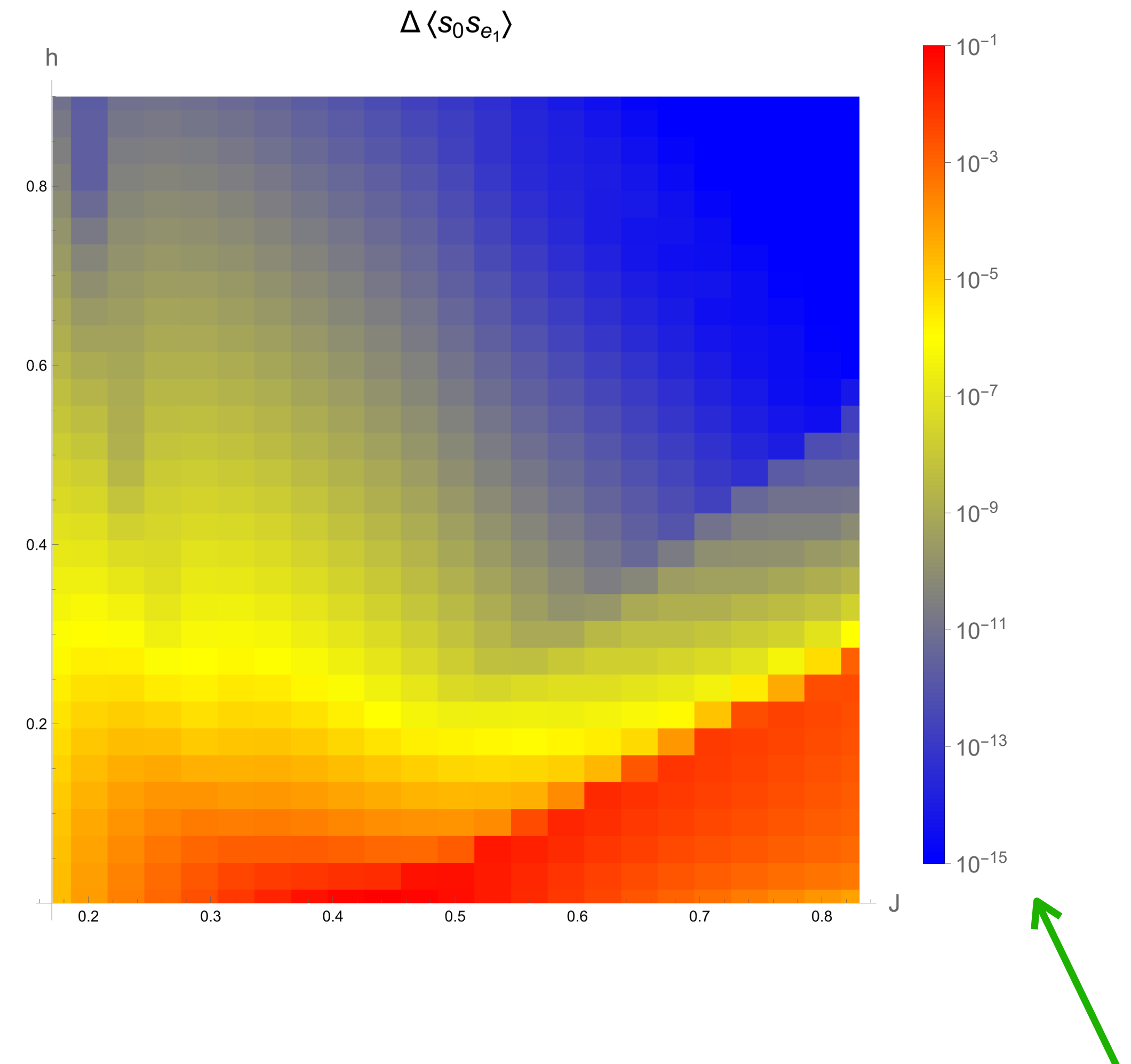
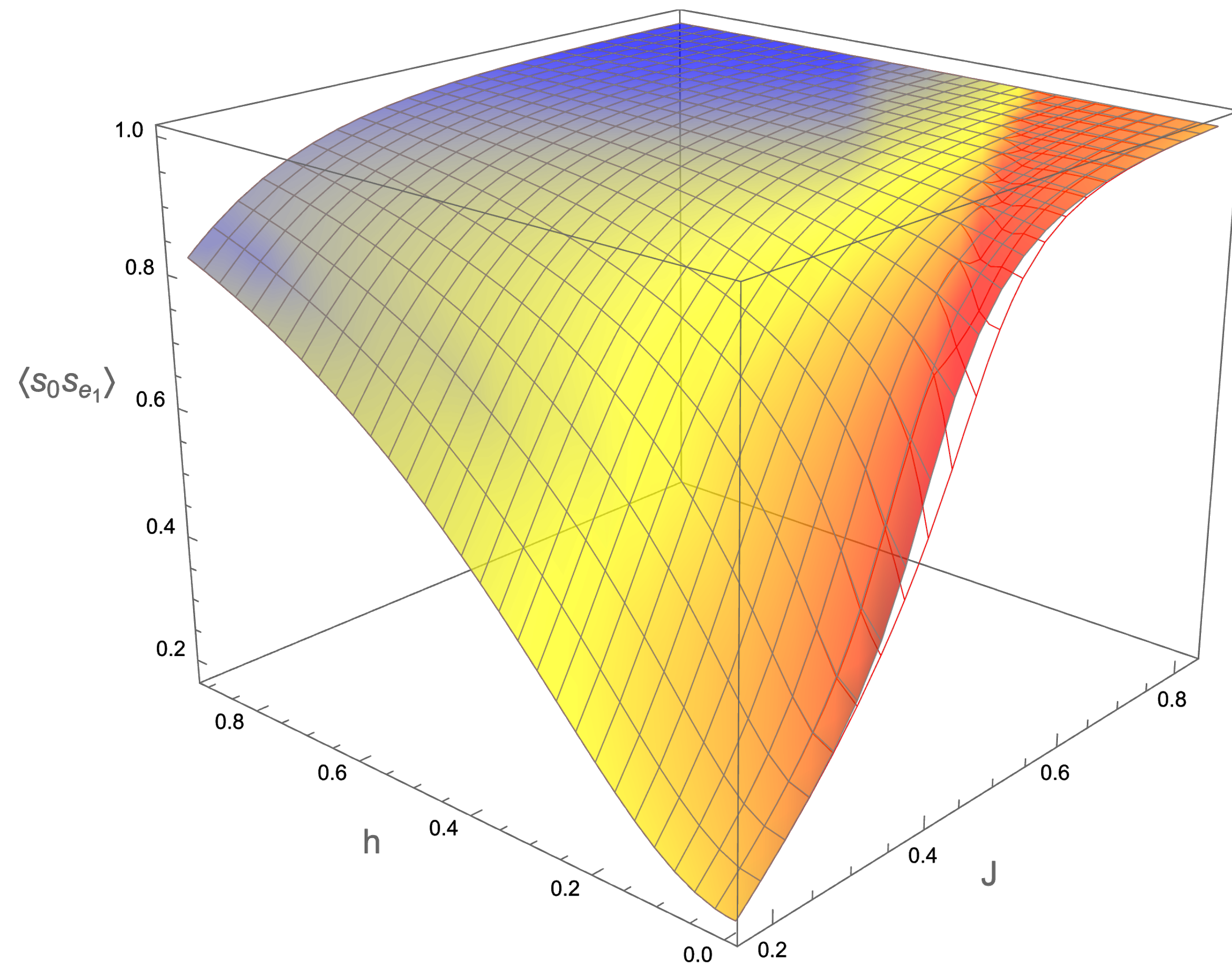
- Dramatic improvement by increasing size of diamond!

2D Ising, spontaneous magnetization



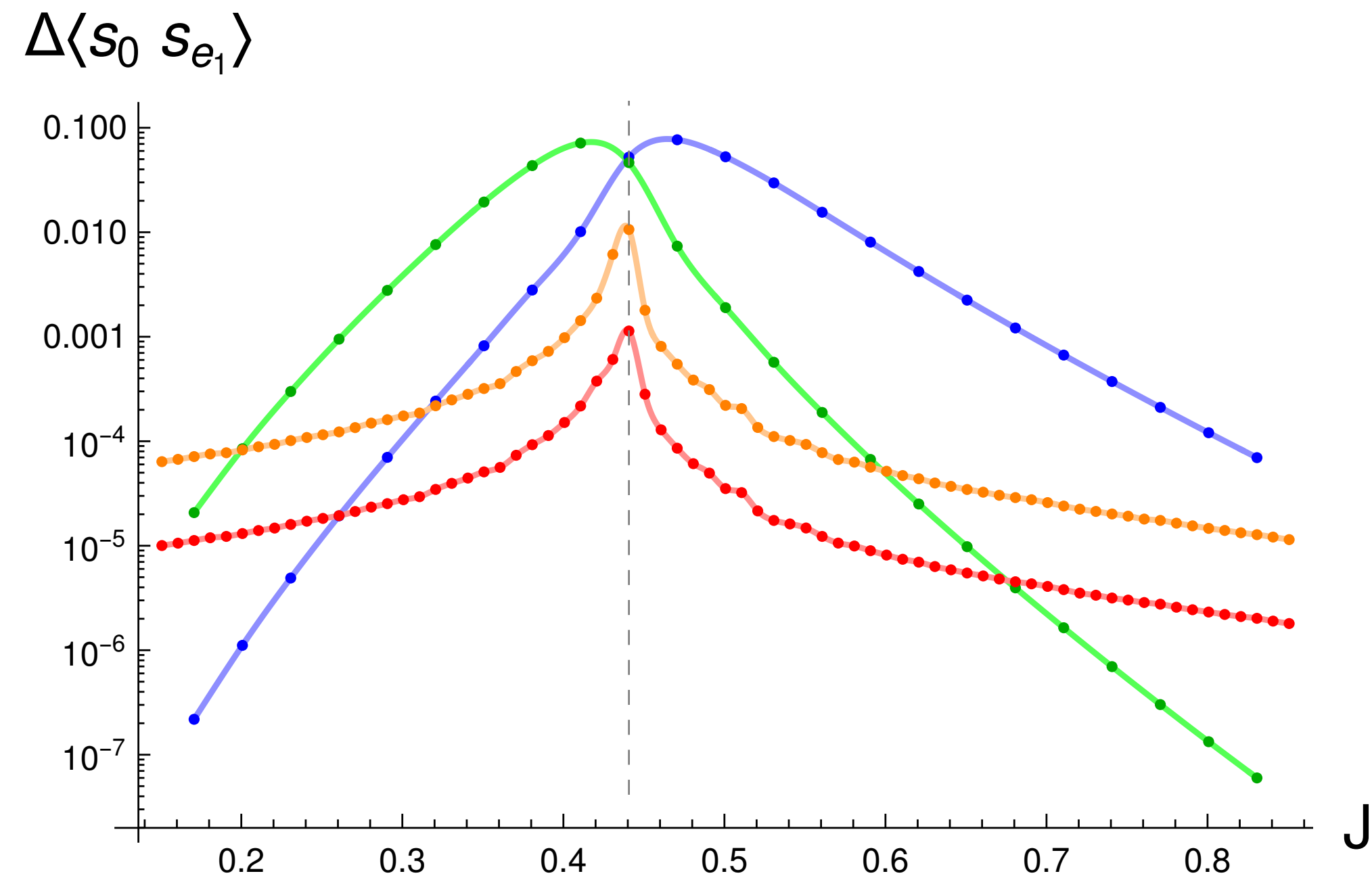
- Only upper bound
- 1st Griffiths inequality plays a role, but appears to be not essential

2D Ising, $h \neq 0$

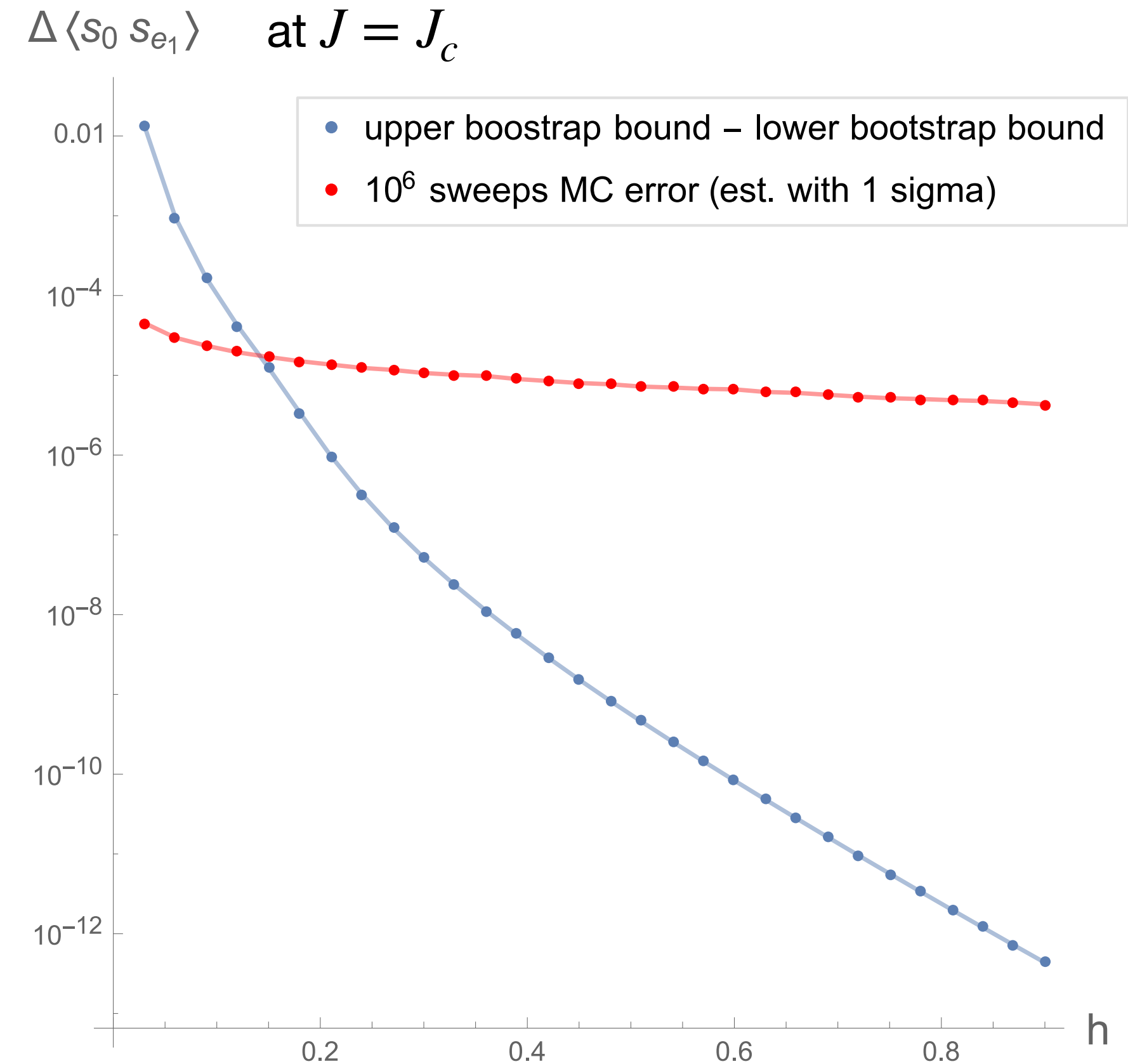


- 13531 diamond bootstrap

2D Ising



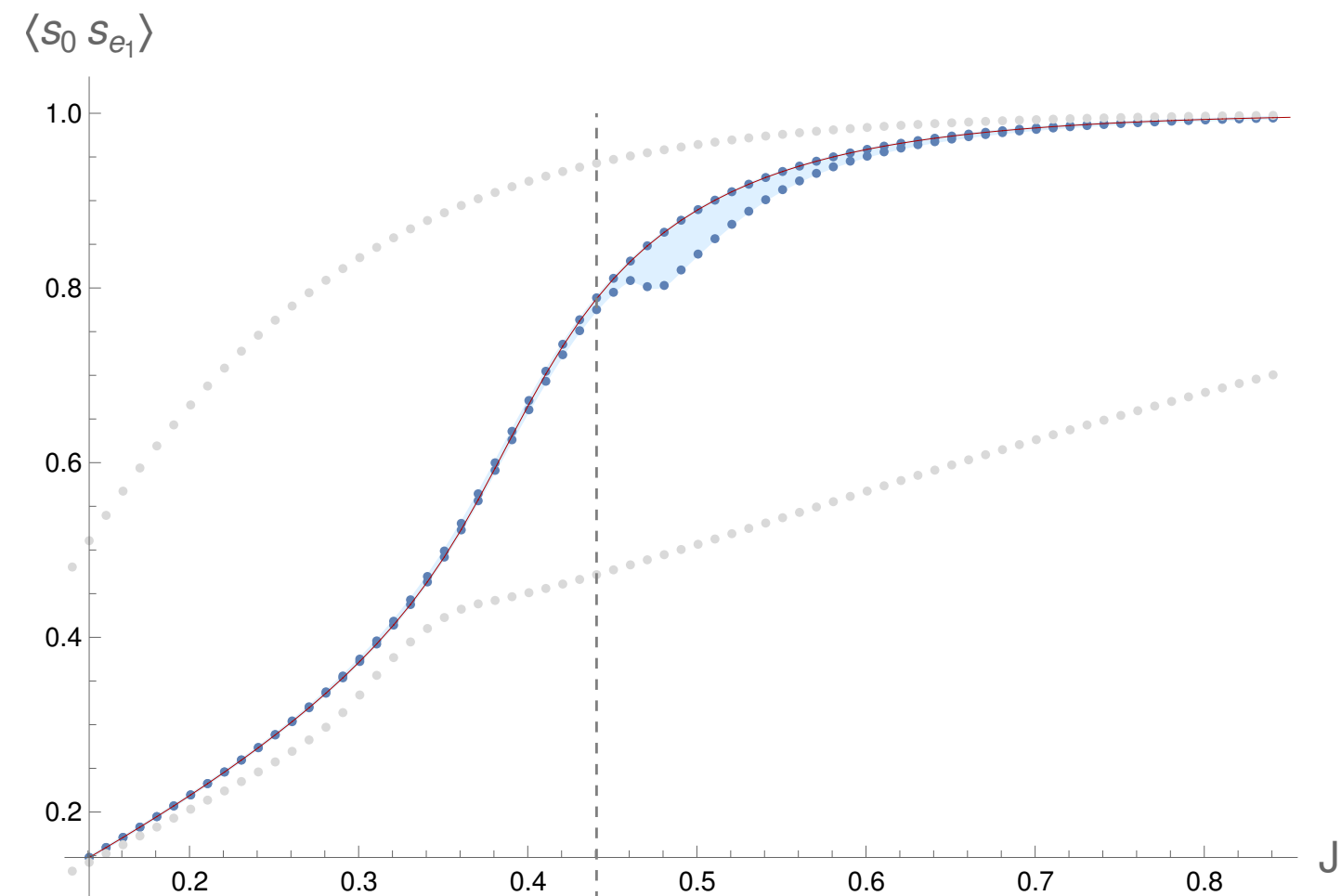
- exact – lower bound
- upper bound – exact
- 10^4 sweeps MC error (est. with 1 sigma)
- 10^6 sweeps MC error (est. with 1 sigma)



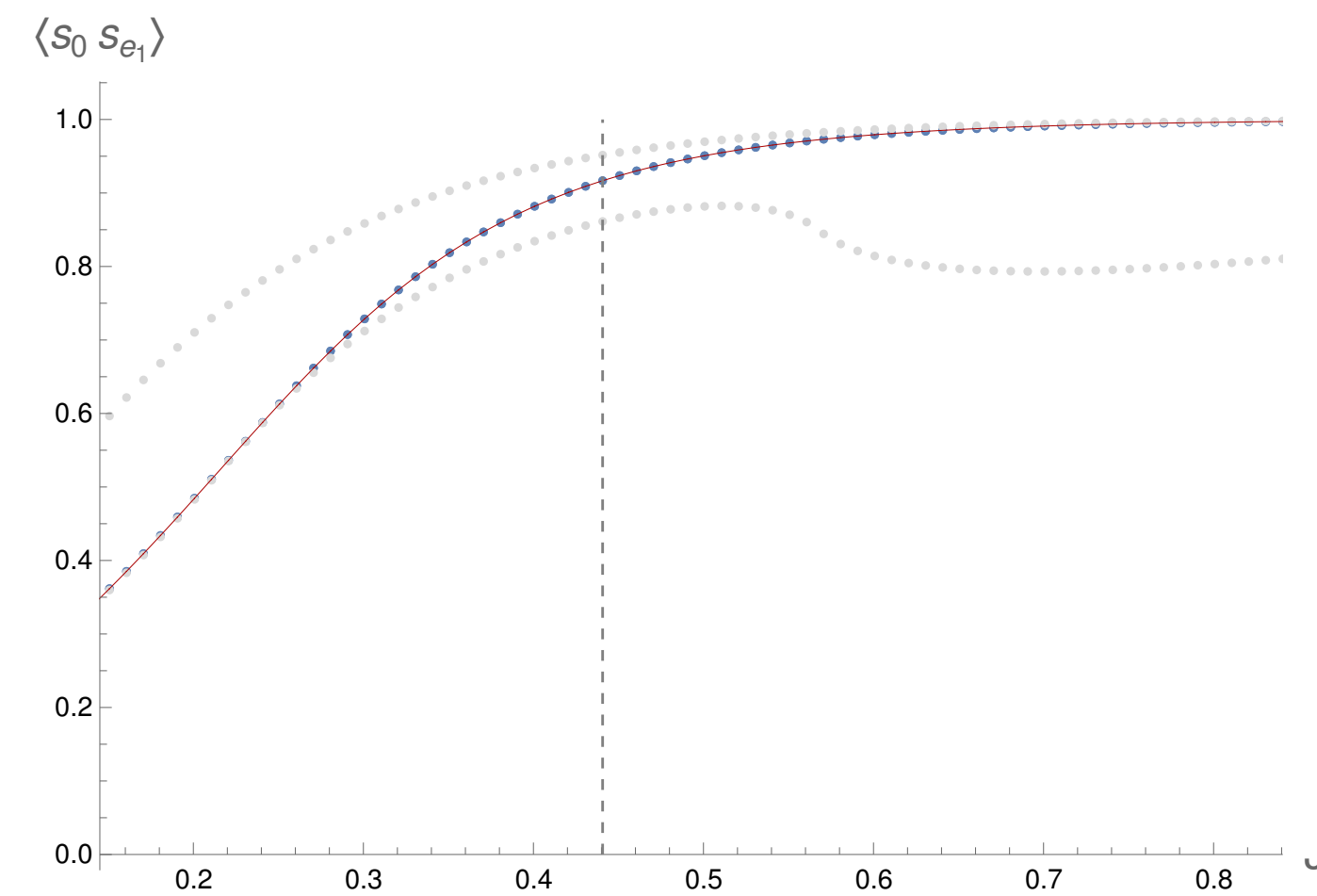
- 13531 diamond bootstrap
- MC on a 200×200 lattice, 10^6 Metropolis sweeps

2D Ising, $h \neq 0$ fixed

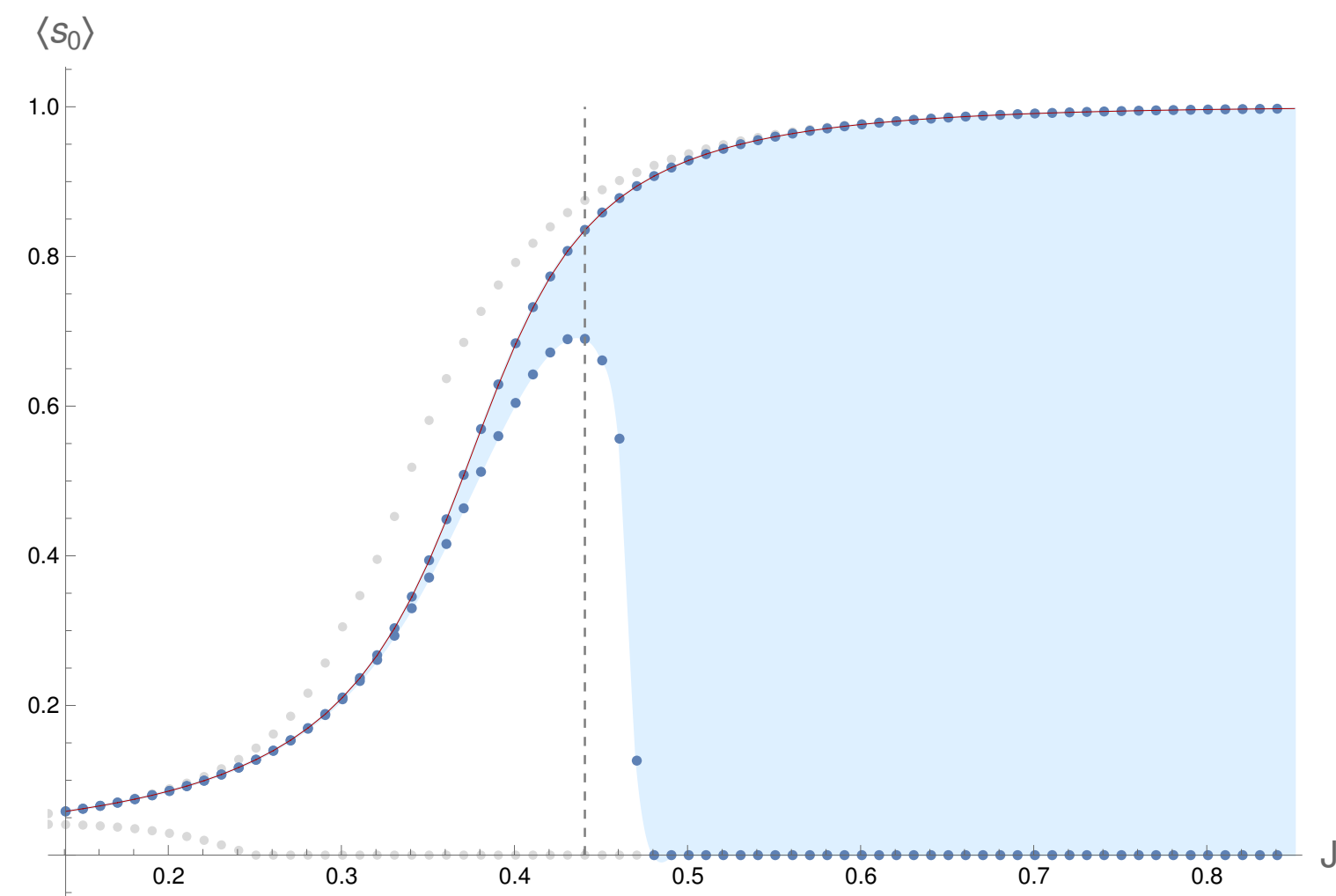
$h=0.03$



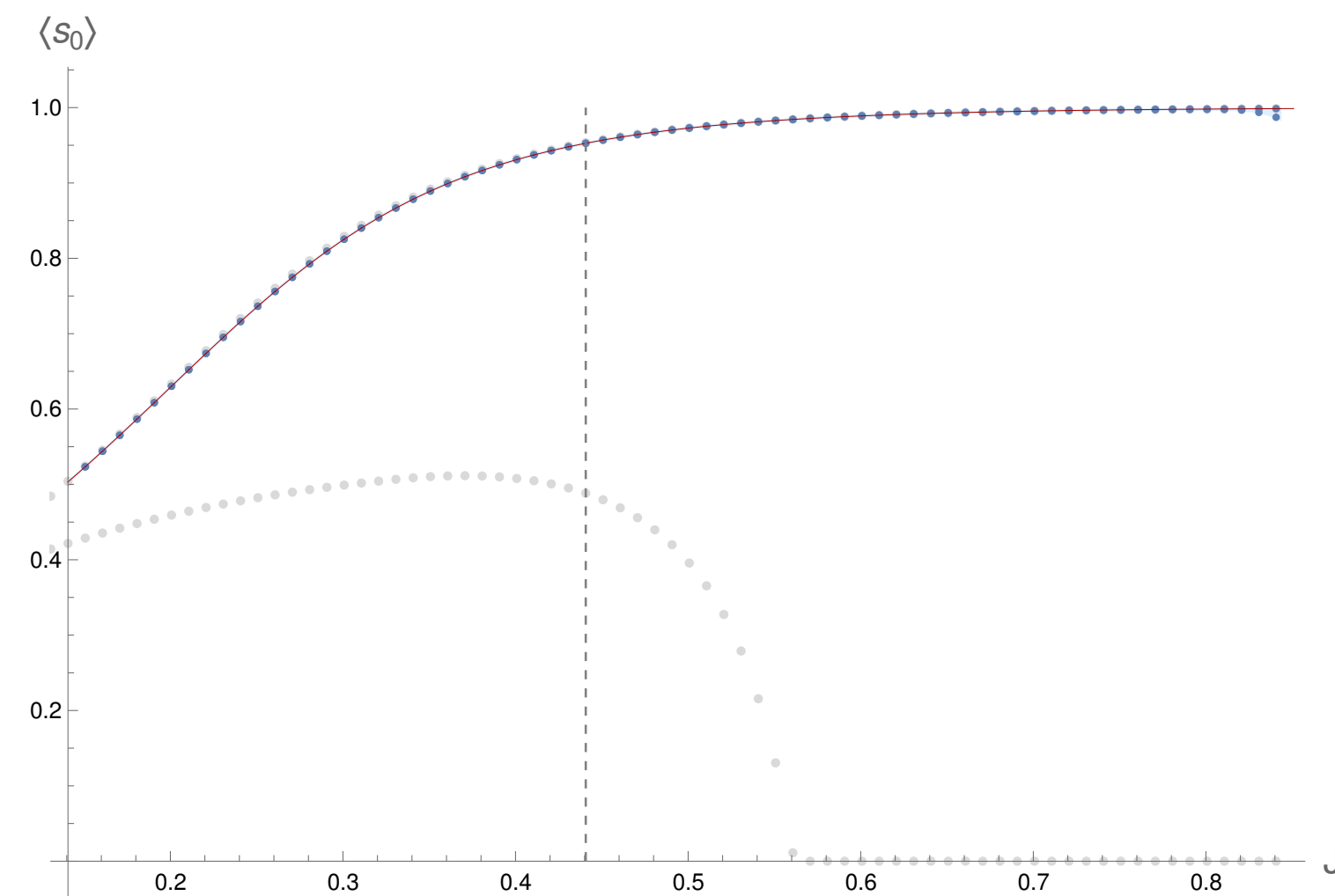
$h=0.3$



$h=0.03$

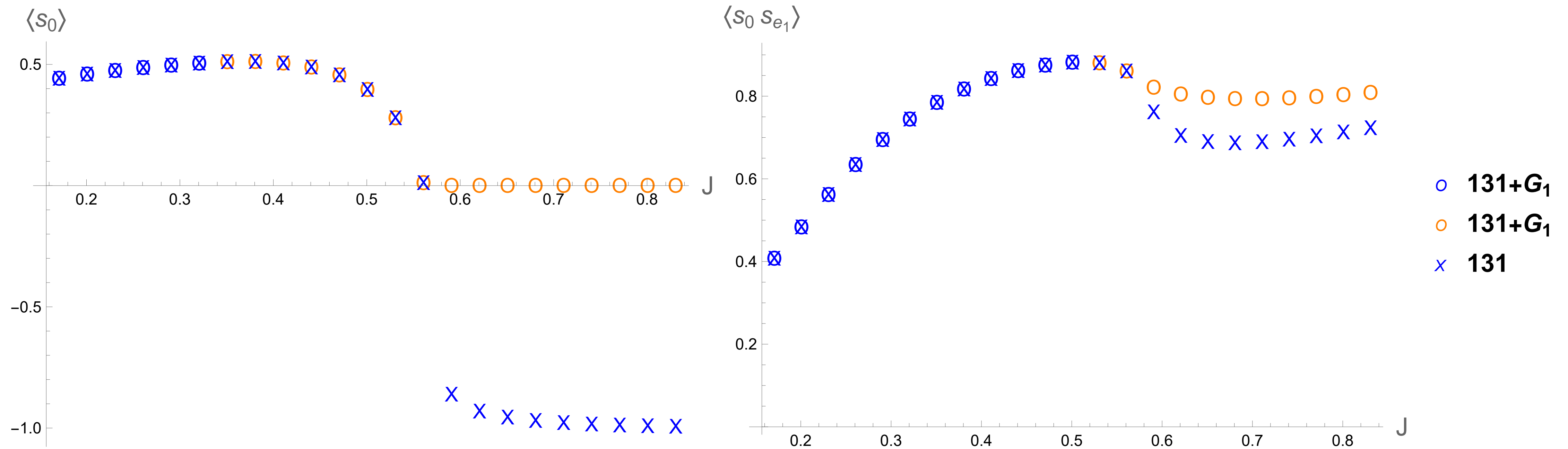


$h=0.3$



2D Ising, Griffiths 2nd inequality

$$h = 0.3$$



- Orange circles: 2nd Griffiths inequality G_2 is violated ~ when bound looks non-monotonic.

G_2 inequalities

$$\langle s_A s_B \rangle - \langle s_A \rangle \langle s_B \rangle \geq 0$$

- Some can be phrased as positive-semidefinite matrix:

Namely, those with $B = A^g$ for some $g \in G$ of the symmetry group G , so that

$$\langle s_A s_{A^g} \rangle - \langle s_A \rangle^2 \geq 0, \text{ or}$$

$$\begin{pmatrix} 1 & \langle s_A \rangle \\ \langle s_A \rangle & \langle s_A s_{A^g} \rangle \end{pmatrix} \succeq 0$$

But do not appear to improve bounds.

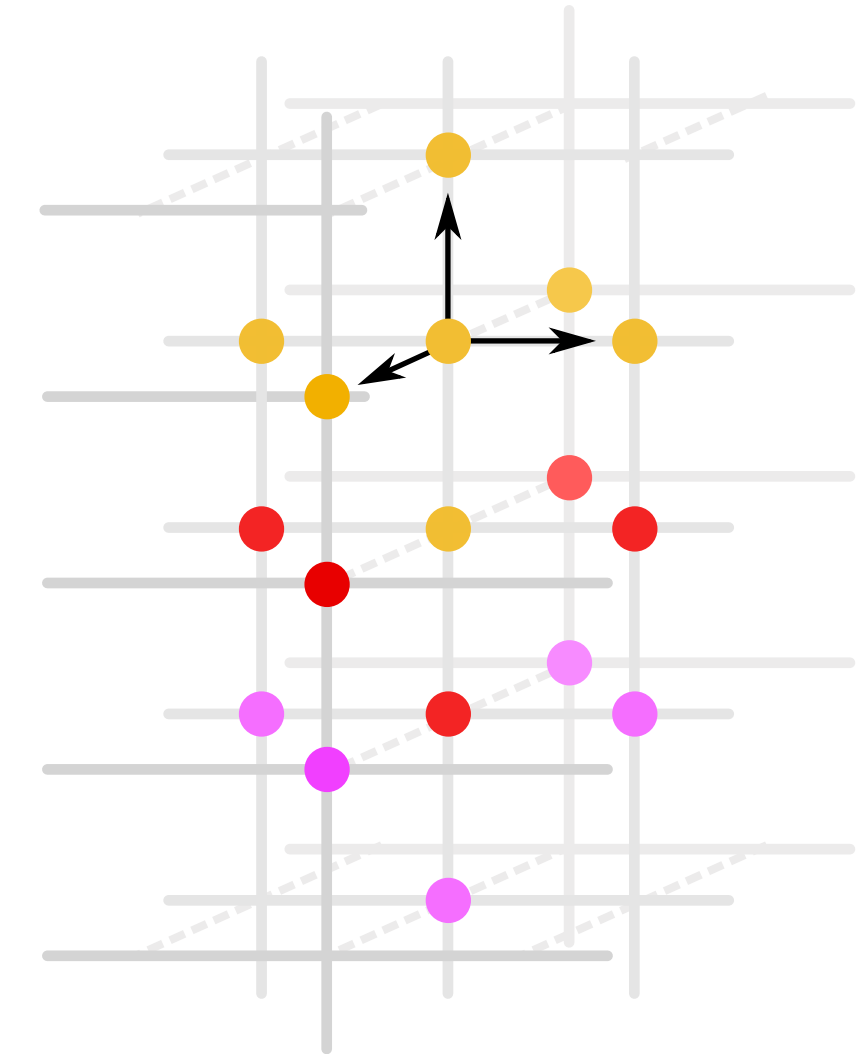
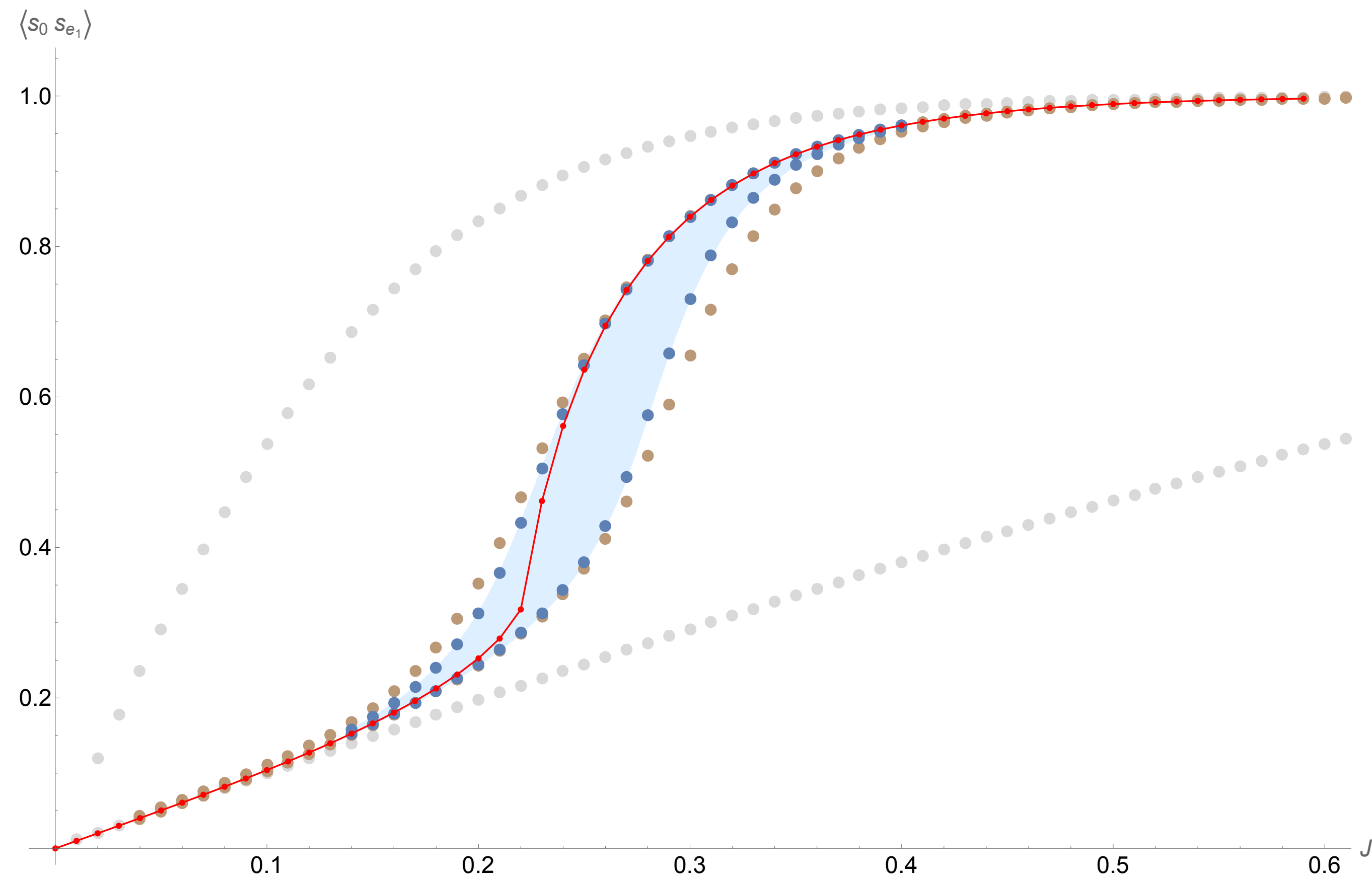
- Not others, for example in the 2D 131 diamond

$$\langle s_{-e_2} s_0 s_{e_2} s_{e_1} \rangle - \langle s_0 s_{e_1} \rangle \langle s_{-e_2} s_{e_2} \rangle \geq 0, \quad \langle s_{e_2} \rangle - \langle s_{-e_1} s_{e_1} s_{e_2} \rangle \langle s_{-e_1} s_{e_1} \rangle \geq 0 \quad \text{etc.}$$

Some are violated! So we do expect to improve our bounds.

- A naive relaxation did not improve bounds (didn't try too hard...)

3D Ising, $h=0$



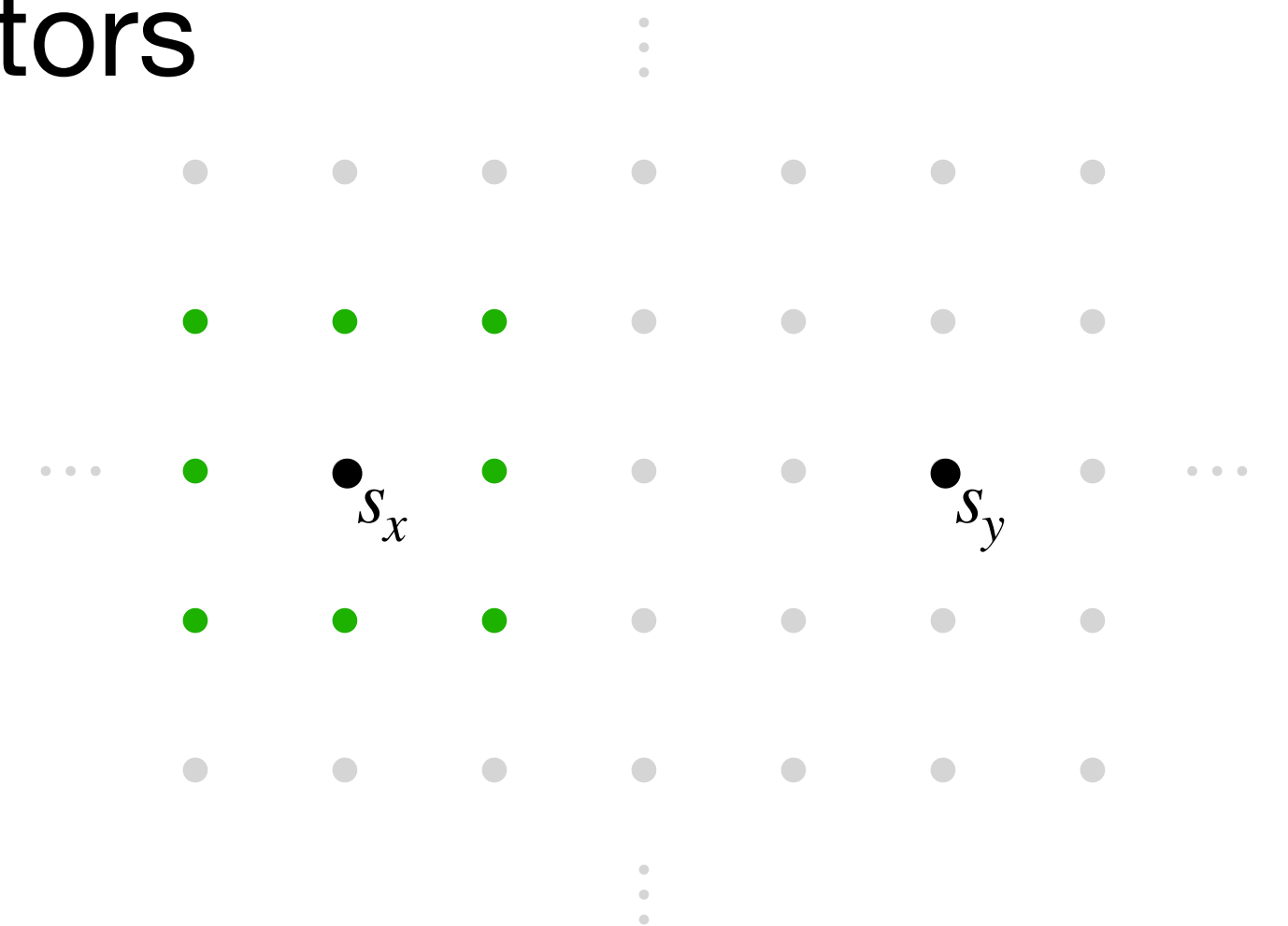
- 151 diamond
- 1551 diamond
- 15551 diamond, with reflection positivity matrices truncated to 100×100
- MC on 100^3 lattice

Future Directions

- Improve the algorithm
 - Subset of spin configurations that are more important
 - Null state relations
- More inequalities
 - Incorporate G_2 inequalities (non-convex)
 - Simon-Lieb inequalities - long-distance spin correlators

$$\langle s_x s_y \rangle \leq \sum_{z \in B} \langle s_x s_z \rangle \langle s_z s_y \rangle$$

- Aizenman-Lebowitz inequality
- More!



Future Directions

- Theories with fermions
- Incorporate RG block-spin transformations (criticality)
- Systematic understanding of the convergence of bounds
- Gauge theories (see [\[Kruczenski talk\]](#) [\[Kazakov-Zheng\]](#) for pure YM)
- Study lattice defects
- Combine with the conformal bootstrap
- ...

2D Ising, $h=0$

